



A#:	8015
P&S#:	7590
DATE:	APRIL 7, 2015
TO:	ALL MEMBERS AND LIMITED MEMBERS
ATTENTION:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
FROM:	WEALTH MANAGEMENT SERVICES - MUTUAL FUNDS
SUBJECT:	REVISION TO TRANSITION PERIOD FOR MIGRATION OF NSCC FUND/SERV SECURITY ISSUE ID AND MEMBER PROFILE MODIFY FORMS TO THE DTCC WEB PORTAL

On January 30, 2015, National Securities Clearing Corporation (NSCC) issued Important Notice [A#7973](#) (P&S# 7548) (the “Previous Notice”), which announced the relocation of the following Wealth Management Services forms from the DTCC website to the DTCC Web Portal, effective February 13, 2015.

- Fund/SERV® Security Issue ID Add
- Fund/SERV® Security Issue ID Modify
- Fund/SERV® Security Issue ID Delete
- Fund/SERV® Member Profile Modify

The Previous Notice also stated that in order to facilitate a smooth transition, the forms would continue to be available on the DTCC website through April 13, 2015, and thereafter, would only be available via the DTCC Web Portal. **NSCC is postponing the April 13, 2015 deadline** and extending the transition period until further notice, which extended deadline date will be communicated in a separate Important Notice. During this extended transition period, the forms will continue to be available on the DTCC website. NSCC is extending the transition period to make certain our clients experience seamless uninterrupted access to the forms, as a number of clients have not yet been transitioned to access the forms via the DTCC Web Portal.

Questions and comments regarding this Important Notice may be directed to Wealth Management Services at 212-855-8877.

John Saxenian
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DTCC Wealth Management Services

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