



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8024
<b>P&amp;S #:</b>	7599
<b>Date:</b>	05/01/2015
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will no longer participate in the Fund/SERV, ACATS, ACATS Fund/SERV, Trade Comparison, Trade Recording, OTC Comparison, Municipal Bond Comparison System, Obligation Warehouse and Envelope Settlement services, as detailed below:

**Last Trade Date, May 14, 2015:**

Robinson & Lukens, Inc. No. 7607  
OTC/MUNI Alpha Identifier: RLUK

- The following firm will change its name only, as detailed below:

**First Trade Date, May 1, 2015:**

From: BHIL Distributors, Inc/Vontobel Global Emerging Markets Equity Institutional Fund No. 7091  
To: BHIL Distributors, Inc/Vontobel Funds No. 7091

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, April 29, 2015:**

Conifer Financial Services LLC No. 3135

- The following firm will begin participation in the Fund/SERV, Networking and MF – Daily Price & Rate services, as detailed below:

**First Trade Date, May 1, 2015:**

John Hancock Trust Company LLC No. 3176  
Contact: Norm Young  
Phone: (617) 572-0045

- Please update the NSCC Directory dated April 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Clearing Broker Change Effective First Trade Date, April 30, 2015</u></b>				
From: Cantone Research Inc.	WEDB	0103	CANR	
To: Cantone Research Inc.	ICBK	0388	CANR	
<b><u>Delete Last Trade Date, April 29, 2015</u></b>				
Access Securities, Inc.	PERS	0443	AXCB	
Banif Securities Inc.	PERS	0443	BANF	
<b><u>Add First Trade Date, April 29, 2015</u></b>				
Cantone Research Inc.	WEDB	0103	CRNJ	

- Please update the NSCC Directory dated April 2015 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete First Trade Date, April 30, 2015</u></b>				
Advantage GFC LLC	SALI	0750	PINO	
<b><u>Add First Trade Date, April 29, 2015</u></b>				
Electronic Transaction Clearing, Inc.	ETCC	0873	ETDM	
<b><u>Add First Trade Date, April 30, 2015</u></b>				
Electronic Transaction Clearing, Inc.	ETCC	0873	ETDN	

- Please update the NSCC Directory dated April 2015 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Clearing Broker Change Effective First Trade Date, May 1, 2015</u></b>				
From: LPS Partners Inc.	SWST	0279	LPSP	
To: LPS Partners Inc.	ICBK	0388	LPSP	

**Clearing Broker Change Effective First  
Trade Date, May 4, 2015**

From: OTCex LLC  
To: OTCex LLC

VSIN	0595	OTCE
ICBK	0388	OTCE

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).