



*Important Notice*  
**National Securities Clearing Corporation - OTC**

**OTC #:** 089  
**DATE:** MAY 11, 2015  
**TO:** ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION  
**ATTENTION:** OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES  
**FROM:** UNDERWRITING  
**SUBJECT:** UPDATES TO THE LIST OF OTC CLEARED SECURITIES

**THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.**

Update	Cusip No	Symbol	Name	Effective Date
<b>ADD</b>				
ADD ***	G80603106	SHABF	SHAFTESBURY PLC (GBR)	05/08/2015
ADD ***	G91014103	HDSMF	HENDERSON SMALLER COMPANIES INVESTMENT TRUST PLC (GBR)	05/08/2015
ADD	20343K109	CNUN	COMMUNITY BANCSHARES INC OHIO MNT-COM	05/08/2015
ADD	376546404	GLDTP	GLADSTONE INVT CORP TERM PFD SER C %	05/08/2015
ADD	685793101	ORFDF	OREFINDERS RES INC COM (CAN)	05/08/2015
ADD	92937B100	WNSS	WNS STUDIOS INC	05/11/2015
<b>DELETE</b>				
DELETE	806018305	SVKBY	SCANIA AKTIEBOLAG SR B SEK UNSPONSORED ADR REPRESENTING B SHS	05/11/2015

**SYMBOL CHANGES**

00808Y208 AEMDD TO: AEMD AETHLON MEDICAL INC 05/11/2015

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## REORGANIZATION

DELETE	Y26496300	FREE	FREESEAS INC COM (MHL)	
ADD	Y26496409	FREE	FREESEAS INC SHS PAR %0.001 APRIL 2015 (MHL)	05/11/2015
DELETE	38911N107	GRVY	GRAVITY CO, LTD AMERICAN DEPOSITORY SHARES	
ADD	38911N206	GRVY	GRAVITY CO, LTD SPONSORED ADR NEW (KOR)	05/11/2015
DELETE	87874X107	TEHG	TECHNOVATIVE GROUP INC COM (WY)	
ADD	87874X206	TEHGD	TECHNOVATIVE GROUP INC COM NEW	05/11/2015

\* 19C-3 Security rule

\*\*\* Foreign Netting Security

**ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: [smd\\_notices@dtcc.com](mailto:smd_notices@dtcc.com)**