



*Important Notice*  
**The Depository Trust Company**

**NUMBER#:** B0929-15  
**DATE:** MAY 12, 2015  
**TO:** ALL PARTICIPANTS  
**CATEGORY:** COMPLIANCE  
**FROM:** GENERAL COUNSEL'S OFFICE  
**ATTENTION:** MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER,  
COMPLIANCE OFFICER  
**SUBJECT:** DTC RULE FILING – NO. SR-DTC-2015-006

On May 12, 2015, The Depository Trust Company (“DTC”) filed a proposed rule change (SR-DTC-2015-006) (“Rule Filing”) with the Securities and Exchange Commission (“SEC”) pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended. The proposed rule change will amend DTC’s Rules to clarify that DTC Participants are required to participate in operational testing by DTC, including testing of DTC’s business continuity and disaster recovery plans.

The full text of this proposed rule change (SR-DTC-2015-006) may be obtained by visiting the DTCC website at [www.dtcc.com](http://www.dtcc.com). Written comments on the proposed rule change may be addressed to Jacqueline Farinella, Vice President and Assistant General Counsel, 55 Water Street, New York, New York 10041, and your comments will be forwarded to the SEC. You may also address your written comments to the Secretary of the Commission, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549-1090. We request that you provide DTC with a copy of your comments.

Questions regarding the Rule Filing or this Important Notice may be addressed to Jacqueline Farinella, Vice President and Assistant General Counsel, at 212-855-3216.

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