



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8030
<b>P&amp;S #:</b>	7605
<b>Date:</b>	05/15/2015
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:  
**Last Trade Date, May 19, 2015:**  
Merchant Capital LLC No. 6733  
MUNI Alpha Identifier: MCLL
- The following firm will begin participation in the MF – Daily Price and Rate service, as detailed below:  
**First Trade Date, May 19, 2015:**  
Northern Lights Distributors, LLC/Modern Technology Fund No. 7015
- The following firm will begin participation in the Fund/SERV, MF – Daily Price & Rate, Networking and DTCC Payment aXis services, as detailed below:  
**First Trade Date, May 22, 2015:**  
Crews & Associates, Inc. No. 5158  
Contact: Joe Bumpers  
Phone: (501) 978-8650
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:  
**First Trade Date, May 14, 2015:**  
UMB Fund Services, Inc. No. 6897  
  
**First Trade Date, May 15, 2015:**  
UMB Fund Services, Inc. No. 6899

- Please update the NSCC Directory dated May 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, May 12, 2015</u></b> Lazard Capital Markets LLC	PERS	0443	LAZA	
<b><u>Delete Last Trade Date, May 13, 2015</u></b> New Castle Financial Group, Inc	LGNT	0052	NCFS	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, May 14, 2015</u></b> UBS Securities LLC	UBSW	0642	UBST	
<b><u>Delete Last Trade Date, May 15, 2015</u></b> Family Income Planning, Inc. TCT Securities & Derivatives, LLC	SALI	0750	FIPC	
	SALI	0750	TCTD	
<b><u>Add First Trade Date, May 15, 2015</u></b> Lime Brokerage LLC	APMA	0396	LIWA	
<b><u>Add First Trade Date, May 18, 2015</u></b> Fantex Brokerage Services, LLC	PFCL	0158	FTEX	
Fantex Brokerage Services, LLC	PFCL	0158	FNTX	
Wallachbeth Capital LLC	IBFS	0824	WBCI	
Keystone Capital Corporation	ETCC	0873	KSTE	

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).