



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 084
DATE: MAY 5, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD ***	J35501105	KKSNF	KOKUSAN DENKI CO LTD (JPN)	05/01/2015
ADD ***	Y1582S105	CGHBF	CHONG HING BANK LTD (HKG)	05/01/2015
DELETE				
DELETE ***	E7390Z159	MRZWF	MERLIN PPTYS SOCIMI S A RT PFD SUB (ESP) EXP 5/2/15	05/04/2015
DELETE ***	G6770D125	OPMLF	OPTIMAL PAYMENTS PLC SHS ISSUE 15 (NIL PAID) (IMN)	05/04/2015
DELETE	15117V109	ICEL	CELLULAR DYNAMICS INTL INC COM STK (WI)	05/04/2015
DELETE	38113T100	GDOCY	GOLDEN OCEAN GROUP LIMITED UNSPONSORED ADR (NORWAY)	05/04/2015
DELETE	785135120	SANWZ	S&W SEED CO CL B WTS EXP 05/03/2015 (DE)	05/04/2015
SEC 12J SUSPENSION	90216R101	TYIN	TYIN GROUP HLDGS LTD COM STK (NV)	05/04/2015

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MARKET MOVE

G9108L140 TNPZF TO: TNPPRD TSAKOS ENERGY NAVIGATION LTD 05/04/2015
* **PERP PFD SER D (BMU)**
(XNAS TO: XNYS)

SYMBOL CHANGES

649604808 NYMMP TO: NYMTO NEW YORK MTG TR INC CUM 05/04/2015
REDEEMABLE PFD SER C
68276T100 TREND TO: OPMZ 1PM INDS INC COM STK (CO) 05/04/2015

REORGANIZATION

DELETE 19285P100 CCAZ COLD CAM INC COM STK (NV)
ADD 55917N105 MGGI MAGICSTEM GROUP CORP 05/04/2015

DELETE 319459202 FCZA FIRST CITIZENS BANC CORP NEW
ADD 178867107 CIVB CIVISTA BANCSHARES INC COM NO PAR 05/04/2015

DELETE 319459400 FCZAP FIRST CITIZENS BANC CORP DEPO SHS
ADD 178867206 CIVBP CIVISTA BANCSHARES INC DEP SH 05/04/2015
REPSTG 1/40TH INT PERP PFD CNV

DELETE 74374F109 PRVG PROVEST GLOBAL INC COM
ADD 45307L108 IMPC IMPERIAL PLANTATION 05/04/2015

NAME CHANGE

DELETE 22542D449 SLVO CREDIT SUISSE NASSAU BRH SILVER
FLOWS ETNS (CHE)
ADD 22542D449 SLVO CREDIT SUISSE X-LINKS SILVER SHS COV 05/04/2015
CALL ETNS DUE 04/21/33

DELETE 22542D480 GLDI CREDIT SUISSE NAS BRH GOLD INCM ETN
LKD CR SUISSE NAS INVST
ADD 22542D480 GLDI CREDIT SUISSE X-LINKS GOLD SHS 05/04/2015
COVERD CALL ETNS DUE 02/02/33

* 19C-3 Security rule

*** Foreign Netting Security

NOTE: ALL SEC 12J SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.

ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
