



Important Notice
National Securities Clearing Corporation

A#:	8026
P&S #:	7601
Date:	05/08/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, May 11, 2015:

Foreside Fund Services, LLC/V2 Capital No. 3114

Last Trade Date, May 22, 2015:

Foreside Fund Services, LLC/Carlyle Select Trust No. 3070

- The following firm will no longer participate in the MF – Profile service, as detailed below:

Last Trade Date, May 18, 2015:

ALPS Distributors Inc./Index Funds No. 6534

- The following firm will begin participation in the Envelope Settlement service, as detailed below:

First Trade Date, May 5, 2015:

The Bank of New York Mellon/ITC - Dealers Clearance Special No. 2339

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, May 8, 2015:

Equinox Alternative Investment Services Holdings Limited No. 6890

Equinox Alternative Investment Services Holdings Limited No. 6891

Equinox Alternative Investment Services Holdings Limited No. 6892

Gemini Fund Services, LLC No. 6896

First Trade Date, May 13, 2015:

Opus Fund Services (USA) LLC No. 6895

BiscayneAmericas Advisers, LLC No. 6884

- The following additional number has been assigned to Unified Financial Securities, Inc. (#4965) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, DTCC Payment aXis and ACATS Fund/SERV services as detailed below:

First Trade Date, May 8, 2015:

Unified Financial Securities, Inc./First Security Funds

No. 3212

Contact: Joe Flannery

Phone: (317) 917-7425

- The following additional number has been assigned to Quasar Distributors LLC (#5368) for the purpose of utilizing Fund/SERV, Networking and MF – Daily Price and Rate services, as detailed below:

First Trade Date, June 1, 2015:

Quasar Distributors LLC/Ohio National Funds

No. 3205

Contact: Casey Sauer

Phone: (414) 203-9122

- Please update the NSCC Directory dated May 2015 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, May 5, 2015

Keystone Capital Corporation

ETCC	0873	KSTC
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Add First Trade Date, May 6, 2015

Electronic Transaction Clearing, Inc.

ETCC	0873	ETBM
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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.