



Important Notice
National Securities Clearing Corporation

A#: 8043
P&S# 7618
DATE: JUNE 16, 2015
TO: ALL PARTICIPANTS
ATTENTION: MANAGING PARTNER/OFFICER; P&S MANAGER, CASHIER; OPERATIONS MANAGER; MANAGER, DATA PROCESSING MANAGER, IT MANAGER
FROM: PRODUCT MANAGEMENT
SUBJECT: CHICAGO STOCK EXCHANGE REPORTING CHANGES –ANONYMITY

Effective July 1, 2015 the Chicago Stock Exchange (the “Exchange”) plans to begin using the NSCC anonymity indicator when submitting UTC transactions. Please see below for details on how this change will impact clearing firm’s UTC output;

Contract Field	Current	Future
<u>Contra Clearing Firm</u> UTC MRO: position 280-287 UTC Messages: Tag 448, party role 18	Contra firm clearing number	8602
<u>Contra Entering Executing Broker</u> UTC MRO: position 288-295 UTC Messages: Tag 448, party role 37	Contra firm mnemonic	ANON

For further details on this change please review the [Customer Service Notice](#) issued by the Exchange on July 15, 2015.

For questions about this Important Notice, please contact the undersigned at tgarrett@dtcc.com.

For questions on the CHX Rollout, please contact: Chicago Stock Exchange Help Desk – (312) 663-2111

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