



Important Notice
National Securities Clearing Corporation

A#:	8046
P&S #:	7621
Date:	06/19/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, June 22, 2015:

Country Trust Bank/CCMC No. 5185

Last Trade Date, June 25, 2015:

Oppenheimer Trust Company No. 0303
Tower Fund Services, LLC No. 1158
Tower Fund Services, LLC No. 1159
Tower Fund Services, LLC No. 1631

- The following firm will no longer participate in the DTCC Payment aXis service, as detailed below:

Last Trade Date, June 19, 2015:

Mesirow Financial, Inc. No. 0727

- The following firm will change its name only, as detailed below:

First Trade Date, June 24, 2015:

From: John Hancock Funds, LLC/MGF Offshore Lux No. 5133
To: John Hancock Funds, LLC/John Hancock Worldwide Investors, PLC UCITS No. 5133

- The following firm will begin participation in the MF – Profile service, as detailed below:

First Trade Date, June 22, 2015:

Northern Lights Distributors, LLC/Eventide Funds No. 3316

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, June 22, 2015:

iCapital Advisors, LLC No. 6974
iCapital Advisors, LLC No. 6975
Cortland Fund Services LLC No. 6985

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV & Networking services, as detailed below:

First Trade Date, June 24, 2015:

State Street Bank & Trust Company/NRS Inc./Genentech Inc. No. 3223
 Contact: Belynda Crick
 Phone: (617) 662-6013

- Please update the NSCC Directory dated June 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>	<u>Executing Broker Identifiers</u>
<u>Delete Last Trade Date, June 15, 2015</u>		
Tejas Securities Group, Inc.	PFCL 0158	TEJS
First American Capital and Trading Corporation	PFCL 0158	STCS
<u>Delete Last Trade Date, June 16, 2015</u>		
Fintegra LLC	PERS 0443	FINT
<u>Delete Last Trade Date, June 17, 2015</u>		
ISI Capital, LLC	WEDB 0103	ISIL
Kildare Capital, Inc	WEDB 0103	KCAP
SL Hare Capital, Inc.	WEDB 0103	SLHC

- Please update the NSCC Directory dated June 2015 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>	<u>Executing Broker Identifiers</u>
	<u>Alpha</u> <u>Numeric</u>	<u>Alpha</u> <u>Numeric</u>
<u>Delete Last Trade Date, June 15, 2015</u>		
Electronic Transaction Clearing, Inc.	ETCC 0873	ETBL
<u>Delete Last Trade Date, June 19, 2015</u>		
Electronic Transaction Clearing, Inc.	ETCC 0873	ETBZ
<u>Delete Last Trade Date, June 22, 2015</u>		
Pennaluna & Company	NFSC 0226	PENA

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.