



Important Notice
National Securities Clearing Corporation

A#:	8049
P&S #:	7624
Date:	06/26/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following item is revised from Important Notice A#8046 (P&S#7621) dated June 19, 2015. The member number has changed from 3223 to 3239.

- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV & Networking services, as detailed below:
First Trade Date, June 24, 2015:
State Street Bank & Trust Company/NRS Inc./Genentech Inc. **No. 3239**
Contact: Belynda Crick
Phone: (617) 662-6013
- The following firm will retire from all services, as detailed below:
Last Trade Date, June 29, 2015:
Arbor Court Capital LLC/Elessar Funds Investment Trust **No. 7931**
- The following firm will no longer participate in the Networking service, as detailed below:
Last Trade Date, June 29, 2015:
Arbor Court Capital, LLC/Meyers Capital Investments Trust **No. 3122**
- The following firm will begin participation in the Invoicing and Fee Settlement: 12B-1, CDSC, Sub-Accounting and Networking Service Fees, as detailed below:
First Trade Date, July 1, 2015:
TD Ameritrade Clearing, Inc. **No. 0188**
- The following firm will begin participation in the MF – Daily Price and Rate service, as detailed below:
First Trade Date, July 1, 2015:
Quasar Distributors, LLC/Hodges Fund **No. 5792**

- The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, July 1, 2015:

Forside Fund Services, LLC/Avenue Mutual Funds Trust No. 3336

First Trade Date, July 10, 2015:

Forside Funds Distributors LLC /The RBB Fund, Inc. No. 5808

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, June 26, 2015:

Keystone Capital Corporation No. 6955

First Trade Date, June 29, 2015:

TIER REIT, Inc. No. 6986

- The following additional number has been assigned to The Northern Trust Company (#6625) for the purpose of utilizing Fund/SERV, Networking and MF – Daily Price and Rate services, as detailed below:

First Trade Date, July 1, 2015:

The Northern Trust Company/GreatBanc Collective Investment Trust II No. 3237

Contact: Jamie Jones-Ezefill

Phone: (312) 444-4972

- Please update the NSCC Directory dated June 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>	<u>Executing Broker Identifiers</u>
<u>Delete Last Trade Date, June 23, 2015</u> Johnston, Lemon & Co. Incorporated	PERS 0443	JOLE
<u>Delete Last Trade Date, June 25, 2015</u> Martinez-Ayme Securities	LGNT 0052	AYME
<u>Delete Last Trade Date, June 30, 2015</u> Loewen, Ondaatje, McCutcheon USA Limited	0235	LOMU
Advanced Asset Trading, Inc.	0235	BRGI

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker and Executing Broker Name Change Effective First Trade Date, June 24, 2015</u>				
From: Casey Professional Services, LLC	SILK	0501	CPSI	
To: New Albion Partners LLC	ECUT	0100	CPSI	
<u>Clearing Broker Change Effective First Trade Date, June 29, 2015</u>				
From: AdCap Securities LLC	BSSC	0352	ADCP	
To: AdCap Securities LLC	PERS	0443	ADCP	
<u>Delete Last Trade Date, June 23, 2015</u>				
Electronic Transaction Clearing, Inc.	ETCC	0873	ETBV	
<u>Delete Last Trade Date, June 24, 2015</u>				
Allston Trading, LLC	SHMR	0695	ALTN	
Allston Trading, LLC	SHMR	0695	ALTR	
MEB Options, LLC	SHMR	0695	MEBO	
RedSky Financial, LLC	SHMR	0695	RSFO	
Torc Investments & Research, LLC	SHMR	0695	TORA	
Torc Investments & Research, LLC	SHMR	0695	TORQ	
ABN AMRO Clearing Chicago LLC	APMA	0396	ZENA	
<u>Add First Trade Date, June 25, 2015</u>				
Stock USA Execution Services, Inc	ETCC	0873	SASG	
<u>Add First Trade Date, June 26, 2015</u>				
Lime Brokerage LLC	WEDB	0103	LMES	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, June 23, 2015</u>				
Pine Securities USA LLC	PERS	0443	PSUS	
<u>Add First Trade Date, June 24, 2015</u>				
New Albion Partners LLC	ECUT	0100	CPSI	

Add First Trade Date, June 25, 2015

The Jeffrey Matthews Financial Group, L.L.C.

0235

JMFL

Add First Trade Date, June 29, 2015

AdCap Securities LLC

PERS

0443

ADCP

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.