



Important Notice
National Securities Clearing Corporation

A#:	8054
P&S #:	7629
Date:	07/10/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

**Participants are advised to note a revision to last week's Important Notice A#8053 (P&S#7628) dated July 2, 2015. Last week's notice referenced an OTC MPID change on First Trade Date 7/13/2015 for Citadel Securities LLC #8430 from CDRG to CTDL. Participants are advised to disregard this change. This firm will continue use of its OTC MPID as CDRG. See MPID section for additional information regarding CTDL.*

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 15, 2015:

Quasar Distributors, LLC/HCIM Trust No. 7113

- The following firms will begin participation in the MF – Daily Price and Rate service, as detailed below:

First Trade Date, July 10, 2015:

Quasar Distributors LLC/Banc MDA No. 3194

Ultimus Fund Distributors, LLC/Lyrical Funds No. 7069

First Trade Date, July 15, 2015:

Northern Lights Distributors, LLC/Balter Funds No. 3230

- The following firm will begin participation in Municipal Bond Comparison service, as detailed below:

First Trade Date, August 3, 2015:

Mizuho Securities (USA) Inc./Fixed Income No. 2396

MUNI Alpha Identifier: MZHO

- The following additional number has been assigned to TCW Funds Distributors (#6563) for the purpose of utilizing Fund/SERV, Networking, ACATS, ACATS Fund/SERV, MF – Daily Price and Rate, Omni/SERV Activity & Position, and DTCC Payment aXis services, as detailed below:

First Trade Date, July 10, 2015:

TCW Funds Distributors/TCW Alternatives Funds No. 3221
 Contact: Nabil Madi
 Phone: (213) 244-0448

- The following additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV and MF – Daily Price and Rate services, as detailed below:

First Trade Date, July 15, 2015:

ALPS Distributors Inc./DDJ Funds No. 3084
 Contact: Brian Montgomery
 Phone: (720) 917-0746

- The following additional number has been assigned to Massachusetts Mutual Life Insurance Company (#4545) for the purpose of utilizing Fund/SERV, Networking, and MF – Daily Price and Rate services, as detailed below:

First Trade Date, July 15, 2015:

Massachusetts Mutual Life Insurance Company/Trading No. 3182
 Contact: Rachel Jaeggi
 Phone: (413) 744-1497

- Please update the NSCC Directory dated July 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, July 7, 2015</u>				
Jefferies LLC	BSSC	0352	BDIR	
Ramat Securities Ltd	BSSC	0352	RMAT	
<u>Add First Trade Date, July 13, 2015</u>				
Jefferies LLC	PERS	0443	JPPB	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, July 8, 2015</u>				
Puma Capital, LLC	BPBI	2154	PUMX	

Add First Trade Date, July 9, 2015

World-Xecution Strategies

ETCC 0873 WLDX

Add First Trade Date, July 13, 2015

Citadel Securities LLC

CDRG 8430 CTDL

Glacier Point Capital LLC

BPBI 2154 GPCS

Third Party Trade LLC

PFCL 0158 TPTG

- Please update the NSCC Directory dated July 2015 (for Municipal Bond Comparison System) as detailed below:

Clearing Broker Identifiers

Executing Broker Identifiers

Alpha Numeric Alpha Numeric

Add First Trade Date, July 7, 2015

CG Capital Markets, LLC

PERS 0443 CGCM

Add First Trade Date, July 13, 2015

IFS Securities, Inc.

ECUT 0100 IFSG

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.