



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8053
<b>P&amp;S #:</b>	7628
<b>Date:</b>	07/02/2015
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

*Effective with the opening of business on July 13, 2015, Citadel Securities LLC will change its primary account number from 0395 to 8430. As a result, the following account changes will occur:*

- The following firm will change its name, as detailed below:  
**First Trade Date, July 13, 2015:**  
 From: Citadel Securities LLC/Internal No. 8430  
 To: Citadel Securities LLC No. 8430
- The following firm will change its OTC MPID, as detailed below:  
**First Trade Date, July 13, 2015:**  
 Citadel Securities LLC No. 8430  
 From: OTC Alpha Identifier: CDRG  
 To: OTC Alpha Identifier: CTDL
- The following firm will retire from all services, as detailed below:  
**Last Trade Date, July 10, 2015:**  
 Citadel Securities LLC No. 0395

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following firm will begin participation in the Obligation Warehouse, OTC Comparison and Envelope Settlement services, as detailed below:  
**First Trade Date, July 13, 2015:**  
 Citadel Clearing LLC No. 0395  
 OTC Alpha Identifier: CDLC

Note: Please direct all inquiries regarding the above to:  
 Kevin Patula (312) 443-5307 or Steven Waldron (312) 443-5856

- The following firm will no longer participate in the Fund/SERV, Networking, ACATS, ACATS Fund/SERV, Insurance Processing Services, Trade Comparison, Trade Recording, NYSE Listed, OTC Comparison, Bond Comparison System, Obligation Warehouse and Envelope Settlement services, as detailed below:

**Last Trade Date, July 13, 2015:**

Mesirow Financial, Inc.	No.	0727
OTC/MUNI Alpha Identifier:		MRSW
NYSE Alpha Identifier:		MES

- The following firm will change its name only, as detailed below:

**First Trade Date, July 6, 2015:**

From: Quasar Distributors, LLC/Selector Management Fund	No.	5729
To: Quasar Distributors, LLC/Ivy Global Investors Fund	No.	5729

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, July 6, 2015:**

Spruceview Capital Partners LLC	No.	6894
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- The following Data Services Only member will begin participation in the Insurance Processing Services, as detailed below:

**First Trade Date, July 7, 2015:**

IFS Securities, Inc.	No.	6958
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- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, Omni/SERV Activity & Position, and DTCC Payment aXis services, as detailed below:

**First Trade Date, July 7, 2015:**

Foreside Fund Services, LLC/AAM Mutual Funds	No.	3217
Contact: Darren Siverling		
Phone: (414) 299-2160		

- Please update the NSCC Directory dated June 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<b><u>Clearing Broker Identifiers</u></b>		<b><u>Executing Broker Identifiers</u></b>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Add First Trade Date, July 2, 2015</u></b>				
NBC Securities, Inc.		0235	NBCS	
Andbanc Brokerage, LLC	PERS	0443	ANDB	

- Please update the NSCC Directory dated June 2015 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Add First Trade Date, July 1, 2015</u></b> Hightower Securities, LLC	PERS	0443	HTPS	

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).