



Important Notice
National Securities Clearing Corporation

A#:	8058
P&S #:	7633
Date:	07/24/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 23, 2015:

ING National Trust

No. 2360

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following firm will begin participation in the Fund/SERV, Networking, and MF – Profile services, as detailed below:

First Trade Date, July 24, 2015:

VOYA Institutional Trust Company

No. 2360

Contact: Gordon Elrod

Phone: (860) 580-2500

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 23, 2015:

ING National Trust/IFS

No. 5377

In connection with the above account retirement, another member firm will now assume this number, as detailed below:

- The following additional number has been assigned to VOYA Institutional Trust Company (#2360) for the purpose of utilizing Insurance Processing services, as detailed below:

First Trade Date, July 24, 2015:

VOYA Institutional Trust Company/IFS

No. 5377

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 27, 2015:

Quasar Distributors, LLC/Balter Capital Funds No. 3021

- The following firms will no longer participate in the DTCC Payment aXis service, as detailed below:

Last Trade Date, July 28, 2015:

ALPS Distributors, Inc./Vulcan Value Partners Funds No. 5313

ALPS Distributors, Inc./Oak Funds No. 5761

ALPS Distributors, Inc./Henssler EQ FD No. 6550

ALPS Distributors, Inc./GKE Asian Opportunities Fund No. 7944

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, July 24, 2015:

UMB Fund Services, Inc. No. 6990

Buttonwood Group Advisors LLC No. 6875

DST Market Services, LLC No. 6982

First Trade Date, July 28, 2015:

APS/Hill Street Partners, LLC No. 6968

Terra Income Advisors, LLC No. 6991

- The following additional number has been assigned to New York life Insurance and Annuity Corporation (#4548) for the purpose of utilizing Insurance Processing services, as detailed below:

First Trade Date, July 23, 2015:

New York life Insurance and Annuity Corporation/Advanced Market Network No. 3233

- The following additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, Networking, and MF – Daily Price and Rate, as detailed below:

First Trade Date, July 24, 2015:

Global Trust Company/Citi-AQR No. 3245

Contact: Christopher Hulse

Phone: (781) 970-5015

- Please update the NSCC Directory dated July 2015 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, July 20, 2015</u> Seven Points Capital, LLC	BPBI	2154	SPTS	
<u>Delete Last Trade Date, July 23, 2015</u> Seaboard Securities, Inc.	LGNT	0052	SEAB	

Add First Trade Date, July 24, 2015

Millennium Advisors, LLC

PERS 0443 MADV

Add First Trade Date, July 27, 2015

Electronic Transaction Clearing, Inc.

ETCC 0873 ETDR

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.