DTCC | *Important Notice*
The Depository Trust Company

B #:	1291-15
Date:	July 27, 2015
To:	All Participants
Category:	Operations
From:	Asset Services – Corporate Actions Transformation Product Management Team
Attention:	Operations Managers/Cashiers/Officers
Subject:	Upcoming Enhancements to the Corporate Actions Web (CA Web)

DTC is preparing to deploy a number of enhancements to the Corporate Actions Web (CA Web) which are detailed in this notice. All of these enhancements will be deployed together in the mid-September to October 2015 timeframe. The deployment date will be communicated via Important Notice once it has been finalized. Designed to streamline and improve the user experience, many of these enhancements are the result of client feedback.

CA Web Browser Compatibility Enhancements
- CA Web will be compatible with the following web browsers, resulting in improved performance.
    - Internet Explorer 11
    - Google Chrome
- CA Web will continue to be backward compatible with Internet Explorer 8

CA Web Overall
- *Display Enhancements*
    - On the Dashboard's instructions table, a new row called "All Services" will be added to show totals for all Instructions services within each category

    - Within the Summary section at the top of the Event Record Detail page, codes for the following fields revealed with a "mouse over" will be replaced with full detail
        - Asset Class
        - Asset Type
        - Workflow Status
    - When Ticker Symbol is displayed, the word "Ticker" will appear before it

    - Within the Event Level section of the Event Details
        - The code associated with Sub Event Type will be replaced with the actual Sub Event Type name
        - The codes associated with Rate Calculation Method and Issuer Status will be replaced with spelled out detail

- Within the Payout section of the Event Details tab, codes associated with the following data elements will be replaced with actual values:
    - DTC Exit Explanation Indicator
    - DTC Multiply/Divide Indicator
    - DTC Pay Method
    - DTC Pay Order
    - Entitlement Calculation Method
    - Reinvestment Income Classification
  - Security Description will display next to the DTC Disbursed Security ID

  - When researching position activities on the Entitlements tab, the numeric Reason Code that appears in the pop-up window when clicking on position hyperlink will have a "mouse over" that provides the actual meaning of the code

- *Functionality Enhancements*
  - A filtering feature will be added above and below each search results grid enabling users to narrow down results from the returned list. The filter will apply only to results returned from the overview or search, not to an exported list

  - Users will be able to maintain multiple Watchlists of up to 3,000 CUSIPs each

  - The maximum number of records available from the Event Audit Trail will increase to 50,000. CA Web will display the first 10,000 records. For access to all 50,000 records, an export to Excel feature will be added

CA Web Instructions
- *Display Enhancements*
  - Instruction totals will be added to the Instructions Overview page

  - Event Security Description and Service Type will be added to the Instructions Overview search results

  - Event and Sub Event Type codes will be replaced with their full description on the Overview search results

  - The field titled "Rate" on the pop-up window appearing on the Instructions Audit Trail within the Instructions tab, will be renamed "DTC Cash Rate"

  - When on the Instructions tab for a post payable tax reclaim event, the user will see a new informational icon on the Original Instruction column header. Its "mouse over" will read "This represents instructions placed during a prior instruction window"

- *Functionality Enhancements*
  - Users will be able to input up to 600 Canadian tax exempt control numbers, an increase from the original limit of 300

o   Users will be able to electronically upload Canadian tax exempt control numbers to the Instructions page via Excel or CSV file

o   Input of user phone number on the Instructions Overview will automatically display in the format XXX-XXX-XXXX, and a field will be added for the optional input of a 10 digit telephone extension

o   The following warning message will appear on top of the CA Web Instructions input table when a corresponding distribution event (same CUSIP, record date and payable date) exists in the same instruction window: "Please be aware there is another distribution event within the same CUSIP with an active instruction window. Please process the same instruction for that event"

Questions about this notice can be directed to your Relationship Manager.