



*Important Notice*  
**National Securities Clearing Corporation**

**A#:** 8069  
**P&S#** 7644  
**DATE:** AUGUST 18, 2015  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGING PARTNER/OFFICER; P&S MANAGER, CASHIER;  
OPERATIONS MANAGER; MANAGER, DATA PROCESSING MANAGER,  
IT MANAGER  
**FROM:** PRODUCT MANAGEMENT  
**SUBJECT:** UNIVERSAL TRADE CAPTURE EXCHANGE TESTING AND  
IMPLEMENTATION FOR NATIONAL STOCK EXCHANGE

As previously noted in Important Notice A#7277, P&S#6847, dated September 6, 2011, NSCC has begun supporting the conversion of exchanges (or “submitting entities”) to Universal Trade Capture (UTC) format messages. As a part of our pre-launch testing, on Monday, August 31st, 2015, DTCC will receive FIX-format trade submissions from National Stock Exchange (NSX) into the PSE (test) environment and will produce customary output reporting to clients.

The NSX/DTCC FIX-format test on August 31<sup>st</sup> is a part of NSX’s industry-wide test that is to take place on Saturday, August 29<sup>th</sup>. All trades that are submitted to NSX on August 29<sup>th</sup> will be put into the DTCC PSE environment in the morning on August 31<sup>st</sup> for processing.

NSCC Members are encouraged to submit trades to National Stock Exchange test environment in order to ascertain a seamless transition of its data following the re-opening of the Exchange. Note that there will be no parallel testing for this onboarding initiative.

Information relating to the conversions of submitting entities to UTC format messaging is available on the UTC website, at <http://dtcclearning.com/learning/clearance>. Exchange testing information is also available on the website under the section labelled “Review UTC Testing Information”.

For questions about this Important Notice or to begin the UTC output setup process, please contact your Relationship Manager or email the undersigned at [ppieczara@dtcc.com](mailto:ppieczara@dtcc.com). Questions relating to National Stock Exchange testing should be addressed with your exchange contact(s).

Pawel Pieczara  
Product Management, Equities Clearance

Non-Confidential

DTCC, on behalf of its subsidiaries, is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).