



Important Notice
National Securities Clearing Corporation

A#:	8072
P&S #:	7647
Date:	08/21/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, August 21, 2015:

Quasar Distributors, LLC/Marketfield Dublin No. 1333
State Street Bank & Trust Company/ING/State of Minnesota No. 3689

Last Trade Date, August 24, 2015:

First Clearing, LLC/Wachovia Securities No. 0030

Last Trade Date, August 31, 2015:

Quasar Distributors, LLC/Shenkman Offshore Funds No. 7059

- The following firm will begin participation in the ACATS service, as detailed below:

First Trade Date, August 20, 2015:

Teachers Advisors, Inc. No. 5668

- The following firm will begin participation in the ACATS Fund/SERV service, as detailed below:

First Trade Date, August 31, 2015:

Jefferies LLC No. 0019

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, August 24, 2015:

LPL Financial, LLC No. 6989

First Trade Date, August 25, 2015:

Cortland Fund Services LLC No. 6788

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

First Trade Date, September 1, 2015:

Ultimus Fund Distributors, LLC/Topturn Funds
 Contact: Doug Jones
 Phone: (513) 587-3408

No. 3260

- Please update the NSCC Directory dated August 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, August 21, 2015

Pointe Capital, L.L.C.

0235 PRBC

Add First Trade Date, August 19, 2015

Torino Capital, LLC

LGNT 0052 TOCA

- Please update the NSCC Directory dated August 2015 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, August 18, 2015

TOV Capital Markets, Inc.

IBKR 0017 TOVC

Add First Trade Date, August 20, 2015

Liquidnet, Inc.

BSSC 0352 LQNX

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.