



*Important Notice*

**National Securities Clearing Corporation - OTC**

**OTC #:** 149  
**DATE:** 08/05/2015  
**TO:** ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION  
**ATTENTION:** OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES  
**FROM:** UNDERWRITING  
**SUBJECT:** UPDATES TO THE LIST OF OTC CLEARED SECURITIES

**THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.**

Update	Cusip No	Symbol	Name	Effective Date
<b>ADD</b>				
ADD***	G22120102	CBGPF	CLOSE BROTHERS GROUP PLC ORD SHS (GBR)	08/04/2015
ADD	30606B209	FWDR	FAIRWIND ENERGY INC	08/05/2015
ADD	55903J100	MGPRF	MAG ONE PRODS INC COM STK (CAD)	08/04/2015
ADD	768540106	RMI	RIVERBANC MULTIFAMILY INVS INC COM STK (MD)	08/05/2015
ADD	83636T104	SHLB	SOUTH ATLANTIC BANCSHARES INC COM SHS (SC)	08/04/2015
ADD	86771W105	RUN	SUNRUN INC COM STK (DE)	08/05/2015
ADD	87042J105	SWTS	SWEETS & TREATS INC	08/05/2015
ADD	98986X109	ZYNE	ZYNERBA PHARMACEUTICALS INC COM STK (DE)	08/05/2015
<b>DELETE</b>				
DELETE ***	Q4971N108	IRNCF	IRONCLAD MINING LTD ORD SHS (AUS)	08/05/2015
DELETE	55024U109	LITEV	LUMENTUM HLGDS INC COM STK W.I. (SD 8/7/15)	08/05/2015
SEC 12K SUSPENSION	693292104	PDKI	PDK ENERGY INC COMMON STOCK (MS)	08/05/2015

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DELETE	73885V108	PWMNF	POWDER MOUNTAIN ENG LTD ORD SHS (CDA)	<b>08/04/2015</b>
SEC 12K SUSPENSION	83413T103	SLRX	SOLAR ACQUISITION CORP COM STK (FL)	08/05/2015
DELETE	875932303	TPGRF	TAPANGO RES LTD (CDA)	<b>08/04/2015</b>
DELETE	925550105	VIAVV	VIAVI SOLUTIONS INC COM STK EX-DIST W.I.(DE) ( <b>SD 8/7/15</b> )	08/05/2015

## MARKET MOVE

	600527105	MILL	MILLER ENERGY RESOURCES, INC COM STK (XNYS TO XNAS)	08/05/2015
	600527204	MILLPRC TO: MILLP	MILLER ENERGY RES INC 10.75% PFD SER C (XNYS TO: XNAS)	08/05/2015
	600527303	MILLPRD TO: MILLO	MILLER ENG RES INC RED PFD FIXED/FLTG SER D 10.5% (TN) (XNYS TO: XNAS)	08/05/2015
	<b>808513402*</b>	<b>CSABP TO: SCHWPRC</b>	<b>SCHWAB CHARLES CORP NEW DEP SHS RPSTG PERPETUAL PFD SR C (XNAS TO: XNYS)</b>	<b>08/05/2015</b>

## SYMBOL CHANGES

	17180X103	CYXPD TO: MFOV	CIGARS MFOV INC	08/05/2015
	67576B108	OCLL TO: OCLLE	OCULUS INC	08/05/2015
	727638108	MCYWF TO: PLUUF	PLATEAU URANIUM INC (CDA)	08/05/2015
	876492109	EFGUD TO: TMIX	TARSIN MOBILE INC	08/05/2015

## REORGANIZATION

DELETE	F51723116	INGIF	CIE INDUSTRIELLE ET FINANCIERE D INGENIERIE SA INGENICO ORD	
ADD ***	F5276G104	INGIF	INGENICO GROUP SA SHS (FRA)	08/05/2015
DELETE	G06750106	AUTCF	AUTOCHINA INTERNATIONAL LTD ORD SHS (CYM)	
ADD	G3441J102	AUTCF	FINCERA INC ORD SHS (CYM)	08/05/2015
DELETE	03634J304	APGR	ANPATH GROUP INC COMMON STOCK	
ADD	03634J403	APGRD	ANPATH GROUP INC COM	08/05/2015
DELETE	05644R200	BONE	BACTERIN INTL HLDGS INC INC COM STK NEW (DE)	
ADD	98420P100	BONE	XTANT MED HLDGS INC COM (DE)	08/05/2015
DELETE	23290K106	CDQFF	DGS MINERALS, INC. ORD SHS (CDA)	
ADD	23290K205	CDQFD	DGS MINERALS INC COM NEW (CDA)	08/05/2015
DELETE	378689103	GLRKF	GLENMARK CAPITAL CORP COM SHS (CDA)	
ADD	01438U103	GLRKD	ALDEVER RES INC (CDA)	08/05/2015

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**NAME CHANGE**

DELETE 818146102 STBWY

SEVERSKY TUBE WORKS SPONSORED ADR  
(RUS)

ADD 818146102 STBWY

SEVERSKY TUBE WORKS, PJSC ADR - SPO 08/05/2015

\* 19C-3 Security rule

\*\*\* Foreign Netting Security

**NOTE: ALL SEC 12K SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.**

**ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: [smd\\_notices@dtcc.com](mailto:smd_notices@dtcc.com)**

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