



Important Notice
National Securities Clearing Corporation

A#:	8064
P&S #:	7639
Date:	08/07/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following item from Important Notice A#8060 (P&S#7635) dated July 31, 2015 has been cancelled.

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, and DTCC Payment aXis services, as detailed below:

First Trade Date, August 3, 2015:

Foreside Fund Services, LLC/Man Umbrella SICAV No. 3216
Contact: Jarek Czernek
Phone: (352) 45141-4206

- The following firm will retire from all services, as detailed below:

Last Trade Date, August 7, 2015:

New Capital Fund Management Limited No. 7941

- The following firm will begin participation in the MF – Profile service, as detailed below:

First Trade Date, August 7, 2015:

ALPS Distributors Inc./Griffin Institutional Access Real Estate Fund No. 3086

- The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, August 7, 2015:

Northern Lights Distributors, LLC/Eventide Funds No. 3316
Northern Lights Distributors, LLC/Day Hagan Funds No. 3317
Northern Lights Distributors, LLC/Catalyst Funds No. 5527

- The following firm will begin participation in the MF – Daily Price and Rate service, as detailed below:

First Trade Date, August 11, 2015:

Quasar Distributors, LLC/Evermore Value Trust No. 5407

- The following additional number has been assigned to Zurich American Life Insurance Company (#4529) for the purpose of utilizing the Insurance Processing Service, as detailed below:

First Trade Date, August 14, 2015:

Zurich American Life Insurance Company/Zurich Global Life North America No. 3244

- The following additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis, and ACATS Fund/SERV services, as detailed below:

First Trade Date, August 14, 2015:

Northern Lights Distributors, LLC/Hays Series Trust No. 3258
 Contact: Mike Nielsen
 Phone: (402) 896-7267

- Please update the NSCC Directory dated August 2015 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, August 11, 2015</u> Johnson Rice & Company L.L.C.	BSSC	0352	JRCO	
<u>Add First Trade Date, August 10, 2015</u> Instinet, LLC	INCA	0067	INGA	

- Please update the NSCC Directory dated August 2015 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, August 4, 2015</u> Merriman Curhan Ford & Co.	PERS	0443	MERI	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.