



Important Notice
National Securities Clearing Corporation

A#:	8080
P&S #:	7655
Date:	09/11/2015
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will begin participation in the ACATS service, as detailed below:
First Trade Date, September 14, 2015:
J.P. Morgan Securities LLC No. 0187
- The following firm will begin participation in the Fund/SERV, Networking, Omni/SERV Activity & Position, DTCC Payment aXis, ACATS, and ACATS Fund/SERV services, as detailed below:
First Trade Date, September 16, 2015:
Bank of New York Mellon/NA-Bank Custody No. 8420
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, September 11, 2015:
Opus Fund Services (USA) LLC No. 9803
Opus Fund Services (USA) LLC No. 9804

First Trade Date, September 16, 2015:
Inland Real Estate Investment Corporation No. 9805
Inland Real Estate Investment Corporation No. 9806
- The following additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis, and ACATS Fund/SERV services, as detailed below:
First Trade Date, September 10, 2015:
Northern Lights Distributors, LLC/Anchor Funds No. 3247
Contact: Mike Nielsen
Phone: (402) 896-7267

- The following additional number has been assigned to Goldman, Sachs & Co. (#5572) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:

First Trade Date, September 15, 2015:

Goldman Sachs & Co./Exchange Funds

No. 3091

Contact: Ryan Ross

Phone: (312) 655-5488

- Please update the NSCC Directory dated September 2015 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, September 9, 2015</u>				
From: Midland Securities, Ltd	FSWC	0309	WACC	
To: Midland Securities, Ltd	SWST	0279	WACC	
<u>Clearing Broker and Executing Broker Name Change Effective First Trade Date, September 18, 2015</u>				
From: Friedman, Billings, Ramsey & Co. Inc.	BSSC	0352	FBRC	
To: FBR Capital Markets & Co.	MLCO	0161	FBRC	
<u>Add First Trade Date, September 10, 2015</u>				
Empire Asset Management Company		0235	EAMC	
<u>Add First Trade Date, September 14, 2015</u>				
Otkritie Inc.	ECUT	0100	OTKR	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker and Executing Broker Name Change Effective First Trade Date, September 15, 2015</u>				
From: Green Street Advisors, Inc.	BSSC	0352	GNAV	
To: Green Street Trading, LLC	IBFS	0824	GNAV	
<u>Add First Trade Date, September 11, 2015</u>				
Jane Street Capital, LLC	PRST	0551	JSML	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, September 10, 2015</u> YieldQuest Securities, LLC	SWST	0279	YQST	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.