



Important Notice

National Securities Clearing Corporation - OTC

OTC #: 178
DATE: SEPTEMBER 16, 2015
TO: ALL PARTICIPANTS - OTC COMPARISON SYSTEM CASHIER, MANAGER REORGANIZATION
ATTENTION: OPERATIONS PARTNER/OFFICER, MANAGER P&S DEPT. CASHIER, MANAGER REORGANIZATION, GLOSSARY DEPT UPDATES TO THE LIST OF OTC CLEARED SECURITIES
FROM: UNDERWRITING
SUBJECT: UPDATES TO THE LIST OF OTC CLEARED SECURITIES

THIS NSCC OTC IMPORTANT NOTICE IS NOW AVAILABLE ON THE NSCC WEB SITE. THE WEB SITE WWW.DTCC.COM IS PASSWORD PROTECTED AND IS OPEN TO ALL FULL-SETTLING MEMBERS OR OTHER NSCC PARTICIPANTS. FIRMS THAT WANT ACCESS TO THE NOTICES OF CHANGES TO THE LIST OF OTC CLEARED SECURITIES SHOULD CALL THEIR NSCC ACCOUNT MANAGER AT 800-422-0582 TO OBTAIN THE APPROPRIATE INFORMATION FOR ACCESS.

Update	Cusip No	Symbol	Name	Effective Date
ADD				
ADD	Q1241Y104	AZRMF	AZURE MINERALS LTD SHS	09/15/2015
ADD ***	Q8510C101	STGNF	SLATER & GORDON LTD, MELBOURNE SHS (AUS)	09/15/2015
ADD ***	03217C106	AMSSY	AMS AG ADR (AUT)	09/15/2015
12K SEC REINSTATEMENT	16938V203	CHFR	CHINA FRUITS CORP	09/16/2015
ADD ***	49865T107	KLCCY	KLOECKNER & CO SE ADR (DEU)	09/15/2015
ADD	848510103	SNMSF	SPIN MASTER CORP SUB VTG COM	09/16/2015
ADD	91022Q204	UETTU	UNITED ETHANOL LLC MEMBERSHIP UNIT CL C	09/15/2015
DELETE				
DELETE	16950V206	CPGI	CHINA SHENGDA PACKAGING GROUP COM NEW	09/16/2015
DELETE	54619P104	LABC	LOUISIANA BANCORP INC COM STK NEW (LA)	09/16/2015
SEC 12J SUSPENSION	64949W109	NYIN	NEW YORK INTL COMMERCE GRP INC	09/16/2015

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MARKET MOVE

400131306	GMK TO: GMKYY	GRUMA SAB DE C V ADS(RP 4 B SHS (XNYS TO XNAS)	09/15/2015
82835P103	SVM TO: SVMLF	SILVERCORP METALS INC COM (CANADA) (XASE TO XNAS)	09/16/2015
94988U730	WLFGP TO: WFCPRV	WELLS FARGO & CO NEW DEP SHS REPG 1/1000TH PERP PFD SER V (XNAS TO: XNYS)	09/16/2015

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SYMBOL CHANGES

65704X109	PALDD TO: PALDF	NORTH AMERN PALLADIUM LTD NEW COM (CANADA)	09/16/2015
665378204	NLGCD TO: NLGCF	NORTHERN LION GOLD CORP COM NEW (CANADA)	09/16/2015
67053Q107	CRMVE TO: CRMV	NUKKLEUS INC	09/16/2015
804031201	STRRD TO: STRRF	SATORI RES INC COM NEW (CANADA)	09/16/2015
858912207	CRDRP TO: SRCLP	STERICYCLE INC DEP SHS REPSTG 1/10TH PFD CNV SER A 5.25%	09/16/2015

REORGANIZATION

DELETE	46111P103	ITMTF	INTERENTAINMENT MEDIA INC COMMON SHARES (CANADA)	
ADD	46111P202	ITMTD	INTERENTAINMENT MEDIA (CANADA)	09/16/2015
DELETE	87075J105	SWFR	SWIFT START CORP COM STK	
ADD	09074B107	BHTG	BIOHITECH GLOBAL INC	09/16/2015

WHEN ISSUE

ADD	126402106	CSWIV	CSW INDUSTRIALS INC W.I.	09/16/2015
ADD	140501123	CSWCV	CAPITAL SOUTHWEST CORP COM EX- DIST W.I.	09/16/2015

* 19C-3 Security rule

*** Foreign Netting Security

NOTE: ALL SEC 12J SUSPENSIONS WILL BE REMOVED FROM CNS ELIGIBILITY.
ALL INQUIRIES SHOULD BE DIRECTED TO NSCC'S MAILBOX AT: smd_notices@dtcc.com
