



<b>B #:</b>	4190-16
<b>Date:</b>	November 8, 2016
<b>To:</b>	All Clients
<b>Category:</b>	Settlement /Asset Servicing
<b>From:</b>	Settlement Product Management
<b>Attention:</b>	Managing Directors/Vice President/Directors/Managers
<b>Subject:</b>	MMI Finality Through Optimization – Risk Management Controls Inquiry Screen MMI Detailed Service Description – <a href="http://www.dtcc.com/~media/Files/Downloads/WhitePapers/MMI-Service-Description.pdf">http://www.dtcc.com/~media/Files/Downloads/WhitePapers/MMI-Service-Description.pdf</a>

As part of the MMI Finality Through Optimization initiative, DTC is modifying the Risk Management Controls Inquiry (RMCI) screen. Beginning on Friday, November 11, 2016, clients will see two new categories on the RMCI screen. These categories are ‘MMI Pending Issuer Funding’ and ‘Pending Optimization.’ At this time, clients will not see the categories populated with activity. Once DTC starts the phased-in implementation scheduled for January 2017, clients may start to see activity populated in these categories.

**The purpose of this notice is to inform clients of the changes on the RMCI screen that will occur on Friday, November 11, 2016. At this time, no client action is required.**

EXAMPLE: Modified RMCI Screen – Pending Approval and Transaction Balances Section

Transaction Balances Pending Approval			
	Net Debits	Net Credits	Net Unapproved Balance
Unapproved in RAD	355,064,695,898.32	168,328,441,304.29	186,736,254,594.03 DB
MMI Pending Issuer Funding	0.00	0.00	0.00
Unapproved Value Hedge Release Request	0.00	0.00	0.00
<b>Total Unapproved</b>	<b>355,064,695,898.32</b>	<b>168,519,381,304.29</b>	<b>186,545,314,594.03 DB</b>

  

Pending Transaction Balances			
	Net Debits	Net Credits	Net Pending Balance
Pending for Positions	3,915,121.17	0.00	3,915,121.17 DB
Pending for Collateral	0.00	0.00	0.00
Pending for Debt Cap	0.00	0.00	0.00
Pending Optimization	1,000,000.00	2,000,000.00	1,000,000.00 CR
<b>Total Pending</b>	<b>4,915,121.17</b>	<b>2,000,000.00</b>	<b>2,915,121.17 DB</b>

  

Total Pending and Unapproved Transaction Balances			
	Net Debits	Net Credits	Net Pending and Unapproved Balance
<b>Total Pending and Unapproved</b>	<b>355,068,011,019.49</b>	<b>168,519,381,304.29</b>	<b>186,549,229,715.20 DB</b>

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Questions regarding this important notice may be directed to your Relationship Manager.