

<b>#:</b>	<b>CBRS002.16</b>
<b>Date:</b>	<b>April 19, 2016</b>
<b>To:</b>	<b>All Cost Basis Reporting Service (CBRS) users</b>
<b>From:</b>	<b>Product Management</b>
<b>Subject:</b>	<b>CBRS Phase 6 Testing</b>

The Cost Basis Reporting Service (CBRS) is preparing participant testing to support the changes on the Tax Lot Input Record to further support the transfer of cost basis.

The adjustments to the CBRS Tax Lot Input and Output layouts were specified in the DTCC Solutions, LLC Important Notice: CBRS006.2015, dated December 17, 2015. In order to prepare for the June 2016 deployment into production, firms should test both their input and output.

- Test with DTCC by submitting CBRS input and receiving output starting **May 23, 2016**.
- Implement procedures or software internally to coincide with the first production date in CBRS on **June 24, 2016**.

### **Testing**

The Participant Services Environment (PSE) CBRS system will accept and edit files according to the definitions on the new record layout beginning May 23, 2016. DTCC Web Direct input will also be available.

If a firm submits cost basis records and CBRS accepts the data, then output will be generated during the end of day process. Below is a list of the relevant files.

<b>Production Product ID</b>	<b>Test Product ID</b>	<b>File Name</b>
36708	46708	Cost Basis Asset Input / Tax Lot Record Input File
02400264	02980264	Cost Basis Transaction End of Day (EOD) Output ---Machine Readable Output (MRO)
02401663	02981663	CBRS Transaction Request Download
02401664	02981664	CBRS Transaction End of Day (EOD) Download

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As an alternative, users may subscribe to canned EOD transaction file output for testing by requesting the following products from the Connectivity Activation & Production Support (CAPS) at 1-888-382-2721, option 5, then option 1:

<b>AutoRoute Product ID for Test canned data</b>	<b>User Type</b>
02991797	Bank
02991798	Broker Dealer
02991119	Mutual Fund
02991796	Transfer Agent

#### *Additional Information*

The CBRS file layouts and more details about the modifications are described in the CBRS User Guide. Log on to the DTCC Learning Center by following the link below to learn more about the service.

<https://dtcclearning.com/learning/clearance/topics/cbrs.html>

#### Time Line

Client testing for the changes specified in this notice will be available May 23, 2016. The first day of production incorporating these changes is planned for **June 24, 2016**.

If you have questions about CBRS, please call the Connectivity Activation & Production Support (CAPS) at 1-888-382-2721, option 5, followed by option 1.

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