Fee Structure Effective: 1 October 2016

Account Management Fee

DTCC Data Repository (Singapore) Pte. Ltd. (DDRS) Users who report to the Australian Securities and Investment Commission will be subject to a monthly account management fee of A$350.00 ("Account Management Fee").

Monthly Open Position Maintenance Fees

DDRS Users will be charged Monthly Open Position Maintenance Fees ("Maintenance Fees") in accordance with the chart below:

Australia	
# of Open Positions at Month End	Fee per Position (AUD)
0 – 100	$6.50
101 – 1,000	$3.75
1,001 – 10,000	$2.25
10,001 - 30,000	$1.00
30,001 - 100,000	$0.30
100,001 +	$0.14
Number of open positions across asset classes are counted on the last business day of each month.	

Notes

- All amounts are expressed in Australian dollars and are exclusive of GST, which will be charged where applicable.
- Firms will be charged in proportion to the volume of trades reported with a reducing cost per position when volume increases (tiers apply for position fee).

Tax

Unless expressly stated, the fee charges are exclusive of Goods and Services Tax (GST). If GST is or becomes payable on any supply made, an additional amount is payable by the client equal to the amount of GST payable on that supply, as calculated in accordance with the GST Act in Australia. DDRS' right to receive an additional amount in respect of GST is subject to a valid tax invoice being issued to the client.

How the pricing structure works

Firm A reports 1,000 positions to the Australian Securities and Investment Commission (ASIC) via DDRS:

- A - Monthly maintenance fee = A$ 350
- B - Charges for positions 0-100 = 100 positions x A$ 6.50 = A$ 650
- C - Charges for positions 101-1,000 = 900 positions x A$ 3.75 = A$ 3,375

Total charges = A+B+C = A$ 4.375

Three (3) Year Commitment Discount Option

DDRS Users have the option to elect to enter into a three (3) year commitment (the "Long Term Commitment"). By electing the Long Term Commitment, all applicable then current fees, exclusive of tax and excluding the Late Fee and Early Termination Fee, as those terms are defined below, will be reduced by 10% for a period of three (3) years following the election (the "Long Term Commitment Period"). If the Long Term Commitment is terminated prior to the end of the applicable Long Term Commitment Period the User shall be subject to an "Early Termination Fee" equal to: (a) the difference between the total amount of fees due after application of the Long Term Commitment incentive and the total amount of fees that would have been due during the applicable portion of the Long Term Commitment Period had no incentive been provided ("Total Incentive Provided"); plus (b) 5% of the Total Incentive Provided or A$700.00, whichever is greater. To elect the Long Term Commitment, Users should contact GTR_Billing@dtcc.com and, for specific billing inquires, Users should contact GTRBillinginquiries@dtcc.com.

Late Fee

In the event all or any undisputed portion of a DDRS User's invoice becomes ninety (90) days or more past due (the "Past Due Balance"), such DDRS User shall be subject to a late fee equal to 5% of the Past Due Balance (the "Late Fee"). The DDRS User will continue to be assessed the Late Fee on a monthly basis until the full amount of the Past Due Balance is paid.

Contacts

More details about specific requirements can be provided by our Global Trade Repository Team

Asia Pacific
Email: GTR-APAC@dtcc.com
Tel: +65-6622 7349

Onboarding
gtronboardingasia@dtcc.com

Online Registration
www.dtcc.com/gtr