



#:	CBRS003.17
Date:	September 25, 2017 AMENDED
To:	All Cost Basis Reporting Service (CBRS) users
From:	Product Management
Subject:	CBRS Phase 7 Enhancements Testing and Implementation; Changes to the Cost Basis User Master File

In Important Notice [CBRS002.17](#) dated, August 1, 2017, DTCC announced the planned enhancements to the Cost Basis Reporting Service (CBRS). DTCC will also make changes to the CBRS User Master File to accommodate the Phase 7 Enhancements. Please note these are optional changes. These changes will be implemented into production **November 10, 2017**. Below is a list of all the input and output files. Please see below for additional information regarding testing and Master File changes.

Testing

The Participant Services Environment (PSE) CBRS system will accept and edit files according to the definitions on the new record layout beginning **October 6, 2017 October 12, 2017**. DTCC Web Direct input will also be available.

If a firm submits cost basis records and CBRS accepts the data, then output will be generated during the end of day process.

Production Product ID	Test Product ID	File Name
36708	46708	Cost Basis Asset Input / Tax Lot Record Input File
02400264	02980264	Cost Basis Transaction End of Day (EOD) Output ---Machine Readable Output (MRO)
02401663	02981663	CBRS Transaction Request Download
02401664	02981664	CBRS Transaction End of Day (EOD) Download

As an alternative, users may subscribe to canned EOD transaction file output for testing by requesting the following products from the Customer Service Center 1-888-382-2721, option 5, then option 1:

Test AutoRoute Product ID	User Type
02991797	Bank
02991798	Broker Dealer
02991119	Mutual Fund
02991796	Transfer Agent

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Changes to the Cost Basis User Master File

DTCC will also make changes to the CBRS Master File MRO 02981666 in PSE and 0256166 Production. The changes will also be included to the CBRS User Masterfile WebDirect Download in PSE 02981667 and 02561667 Production.

There will be a new Search Firm Type value of NSCAIP, which will create a new type of inactive record to allow CBRS Firm Types (DTCPRT, NSCPRT, and CBRACKT) to add its NSCC AIP Firm number to the CBRS User Master File.

Adding a NSCAIP firm number to an existing CBRS Firm Type will allow a CBRS user to map its NSCC AIP number to its existing CBRS account. This cross-reference will be necessary in the cases where a firm has a DTCPRT and a different NSCC AIP number.

The new Master File record layout can be found on DTCC's website at:

<http://www.dtcc.com/clearing-services/equities-clearing-services/cbrs/client-center/public-documents>

Time Line

Client testing for the changes specified in this will be available ~~October 6, 2017~~ **October 12, 2017** with the planned production implementation scheduled for November 10, 2017.

If you have questions about CBRS, please contact Connectivity Activation & Production Support (CAPS) at 1-888-382-2721, option 5, followed by option 1.

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