



Important Notice
National Securities Clearing Corporation

A#:	8483
P&S #:	8058
Date:	12/01/2017
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:
Last Trade Date, November 28, 2017:
SW Asset Management, LLC No. 1132

- The following firm will no longer participate in the MF – Profile service, as detailed below:
Last Trade Date, December 5, 2017:
Credit Suisse Securities (USA) LLC No. 0355

- The following firm will change their name only, as detailed below:
First Trade Date, December 5, 2017:
From: Breakwater Group LLC No. 5411
To: Breakwater Group Distribution Services LLC No. 5411

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, November 30, 2017:
Guggenheim Credit Income Fund No. 8335
Woodfield Fund Administration LLC No. 3621

- **First Trade Date, December 1, 2017:**
Equinox Alternative Investment Services Holdings Ltd No. 3850
Equinox Alternative Investment Services Holdings Ltd No. 3896
Equinox Alternative Investment Services Holdings Ltd No. 4138

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First Trade Date, December 5, 2017:

U.S. Bancorp Fund Services, LLC

No. 4612

- The following firm will begin participation in the DTCC Payment aXis and Insurance Processing services, as detailed below:

First Trade Date, December 1, 2017:

Peachtree Capital Corporation

No. 1396

- The following additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price & Rate, DTCC Payment aXis, SDR, and ACATS Fund/SERV services, as detailed below:

First Trade Date, December 1, 2017:

Northern Lights Distributors, LLC/IQ-Striquer Fund

No. 3487

Contact: Mike Nielsen

Phone: (402) 896-7267

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services as detailed below:

First Trade Date, December 4, 2017:

Quasar Distributors, LLC/Infusive Funds

No. 8223

Contact: Casey Sauer

Phone: (414) 203-9122

- The following firm will begin participation in the ACATS, Obligation Warehouse, Bond Comparison and OTC Comparison services, as detailed below:

First Trade Date, December 4, 2017:

CF Secured, LLC

No. 0794

OTC Alpha Identifier

CFSX

MUNI Alpha Identifier

CFSX

CORP Alpha Identifier:

CFSX

UIT Alpha Identifier:

CFSX

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price & Rate, DTCC Payment aXis, and ACATS Fund/SERV services as detailed below:

First Trade Date, January 2, 2018:

Foreside Fund Services, LLC/Magnetar Capital Funds

No. 1561

Contact: Casey Sauer

Phone: (414) 203-0122

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, Effective First Trade Date, November 28, 2017</u>							
From: Cuttone & Co., LLC	ETCC	0873	TONC	✓			
To: Cuttone & Co., LLC	ETDP	3361	TONC	✓			
<u>Delete Last Trade Date, November 27, 2017</u>							
Curren & Company	NFSC	0226	CURR		✓	✓	✓
<u>Delete Last Trade Date, November 28, 2017</u>							
Electronic Transaction Clearing, Inc.	ETDP	3361	ETDK	✓			
<u>Delete Last Trade Date, November 30, 2017</u>							
Electronic Transaction Clearing, Inc.	ETDP	3361	ETEL	✓			
<u>Add First Trade Date, November 28, 2017</u>							
Mundial Financial Group, LLC.	IBKR	0017	MULA	✓			
<u>Add First Trade Date, November 30, 2017</u>							
Sprinklebrokerage, Inc.	VSIN	0595	SPBR	✓	✓	✓	
Atreyu Group LLC	LGNT	0052	ATRE	✓			✓
<u>Add First Trade Date, December 1, 2017</u>							
Virtu Americas LLC	NITC	0295	VALX	✓			
<u>Add First Trade Date, December 4, 2017</u>							
Westminster Financial Securities, Inc.	NFSC	0226	WMFS		✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.