



P&S #:	8066
A#:	8491
Date:	December 13, 2017
To:	All Participants
Attention:	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From:	Connectivity, Activation and Production Support (CAPS)
Subject:	CHRISTMAS HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM, and all U.S. Federal Banks will be closed on Monday, December 25 in observance of Christmas Day. The following changes in NSCC's operations and schedules will be in effect.

COMPARISON SYSTEMS

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Friday, December 22 will be accepted no later than 8:00 pm on Friday, December 22.

Trade Capture & Reporting:

No UTC processing will take place on Monday, December 25.

CNS CONTINUOUS NET SETTLEMENT

Seg. Exemptions and Priority Requests for settlement of Tuesday, December 26 will be accepted on Friday Evening, December 22.

The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Christmas Day processing to determine their schedules in effect.

CENTRAL DELIVERY AND SETTLEMENT

No Delivery Services or Settlement Operations will be provided on Monday, December 25.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on Friday, December 22 for settlement Tuesday, December 26.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential

ACATS- AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE

No ACATS activity will be accepted on Monday, December 25.

ACATS - FUND/SERV INTERFACE:

No ACATS-Fund/SERV Interface activity will be accepted on Monday, December 25.

ACATS/IPS

No ACATS/IPS Interface activity will be accepted on Monday, December 25.

OBLIGATION WAREHOUSE

OW will be closed for the business day Monday, December 25. No input will be received or processed and no output generated for OW. Any submission after close of business Friday, December 22 should be for the next business date. Normal processing will resume for business day Tuesday, December 26.

DTCC Payment aXis®.

No DTCC Payment aXis activity will be accepted or distributed on Monday, December 25. There will be no money settlement.

FUND/SERV®, NETWORKING & OMNI/SERV®

No Fund/SERV, Networking or OMNI/SERV activity will be accepted or distributed on Monday, December 25. There will be no money settlement.

MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II-PARTICIPANT, SECURITY AND DISTRIBUTION)

No MFPS I or MFPS II activity will be accepted or distributed on Monday, December 25.

ALTERNATIVE INVESTMENT PRODUCTS

The AIP System will **NOT** process transactions or create settlement data files. There will be no money settlement on Monday, December 25.

INSURANCE PROCESSING

IPS files received on Monday, December 25 will be processed. **POV & PRP files will be transmitted on Monday, December 25.** Subsequent output regarding all other files will be produced and distributed on Tuesday, December 26.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or at the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.