



*Important Notice*  
**National Securities Clearing Corporation**

<b>P&amp;S #:</b>	8067
<b>A#:</b>	8492
<b>Date:</b>	<b>December 13, 2017</b>
<b>To:</b>	<b>All Participants</b>
<b>Attention:</b>	<b>Managing Partner/Officer, P&amp;S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants</b>
<b>From:</b>	<b>NSCC Operations</b>
<b>Subject:</b>	<b>NEW YEAR'S DAY SCHEDULE</b>

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM and all U.S. Federal Banks will be closed on Monday, January 1 in observance of New Year's Day. The following changes in NSCC's operations and schedules will be in effect:

**COMPARISON SYSTEMS**

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Friday, December 29 will be accepted no later than 8:00 pm on Friday, December 29.

**Trade Capture & Reporting:**

No UTC processing will take place on Monday, January 1.

**CNS CONTINUOUS NET SETTLEMENT**

Seg. Exemptions and Priority Requests for settlement of Tuesday, January 2 will be accepted on Friday Evening, December 29.

*The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding New Year's Day processing to determine their schedules in effect.*

**CENTRAL DELIVERY AND SETTLEMENT (ESS)**

No Delivery Services or Settlement Operations will be provided on Monday, January 1

**INTER-CITY DELIVERIES (IESS)**

Inter-City Deliveries will be accepted on Friday, December 29 for settlement Tuesday, January 2.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

Non-Confidential

## **ACATS - AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE**

No ACATS activity will be accepted on Monday, January 1.

## **ACATS - FUND/SERV INTERFACE**

No ACATS-Fund/SERV Interface activity will be accepted on Monday, January 1.

## **ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Monday, January 1.

## **OBLIGATION WAREHOUSE**

The Obligation Warehouse (OW) will be closed for business on Monday, January 1. No input will be received or processed and no output generated for OW. Any submission after close of business Friday, December 29 should be for the next business date of Tuesday, January 2.

## **DTCC Payment aXis®**

No DTCC Payment aXis activity will be accepted or distributed on Monday, January 1. There will be no money settlement.

## **FUND/SERV®, NETWORKING & OMNI/SERV®**

No Fund/SERV, Networking or OMNI/SERV activity will be accepted or distributed on Monday, January 1. There will be no money settlement.

## **MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II-PARTICIPANT, SECURITY AND DISTRIBUTION)**

No MFPS I or MFPS II activity will be accepted or distributed on Monday, January 1.

## **ALTERNATE INVESTMENT PRODUCTS**

The AIP System will **NOT** process transactions or create settlement data files. There will be no money settlement on Monday, January 1.

## **INSURANCE PROCESSING**

IPS files received on Monday, January 1 will be processed. POV & PRP files will be transmitted on Monday, January 1. Subsequent output regarding all other files will be produced and distributed on Tuesday, January 2.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or at the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.