



<b>A#:</b>	8573
<b>P&amp;S#</b>	8148
<b>Date:</b>	JUNE 13, 2018
<b>To:</b>	ALL ACATS PARTICIPANTS
<b>From:</b>	ACATS PRODUCT MANAGEMENT, DTCC EQUITIES CLEARANCE
<b>Attention:</b>	DIRECTOR OF OPERATIONS, ACCOUNT TRANSFERS DEPARTMENT, MUTUAL FUND OPERATIONS, P&S DEPARTMENT
<b>Subject:</b>	ACATS MODERNIZATION – PSE A REGION

In preparation for the ACATS Modernization – Shortened ACATS testing period ([see Important Notice a8542](#)), the ACATS system will be divided into two PSE regions. One to support existing functionality and another to support the new functionality. This follows the recent path set during the T+2 implementation in 2017. Providing two test regions provides several benefits for firms during testing. This includes the ability to continue to test existing functionality throughout the new release test window. It also allows firms (that are able to support two test regions) the ability to compare results between new functionality and old functionality.

ACATS will support two distinct regions, PSE-U and PSE-A.

The PSE-U region, which is currently used for existing functionality, will become the test region for new functionality.

The PSE-A will serve as the test region to support the current ACATS functionality. The A region was introduced as part of T+2 initiative in 2017.

Starting July 10, 2018, all ACATS output reporting will be disabled in the PSE-U region. This includes all output pushed to firms via files transmission, files pulled from the mainframe and reports and files retrieved from the portal. It will remain disabled until the region is ready for ACATS modernization external testing, which is currently scheduled for November 2018.

PSE-U will continue to accept input for ACATS testing. No changes will be required for input into DTCC. All input will be accepted and be automatically processed in the PSE-A region without firms having to make any changes. Output would then be transmitted via PSE A region.

In order to receive output from PSE-A, firms will need determine connectivity status.

**For firms that already setup a connection to the A region during T+2:**

Check with your connectivity team to make sure that connectivity to the A001 system is still available, and has file transfer capabilities. If you pull files from the main frame, confirm that your log in credentials are still valid. If you are experiencing an issue, email the Customer Activation and Production Support team (CAPS) at clientconnect@dtcc.com

“A” Region source IP Addresses:

Applications	Ports	SMART	BT RadianZ	SFTI
FTP/SSH (UAT) (A1)	22	167.188.69.201	167.188.169.201	167.188.137.201
FTP/SSL (UAT) (A1)	9021, *20000-20099	167.188.69.201	167.188.169.201	167.188.137.201
FTP/SSL (UAT) (A1) ***Dual Auth***	9021, *20000-20099	167.188.69.208	188.188.169.208	167.188.137.208
NDM	1368	167.188.69.201	167.188.169.201	167.188.137.201

**For firms that do not have A region access and want testing capabilities:**

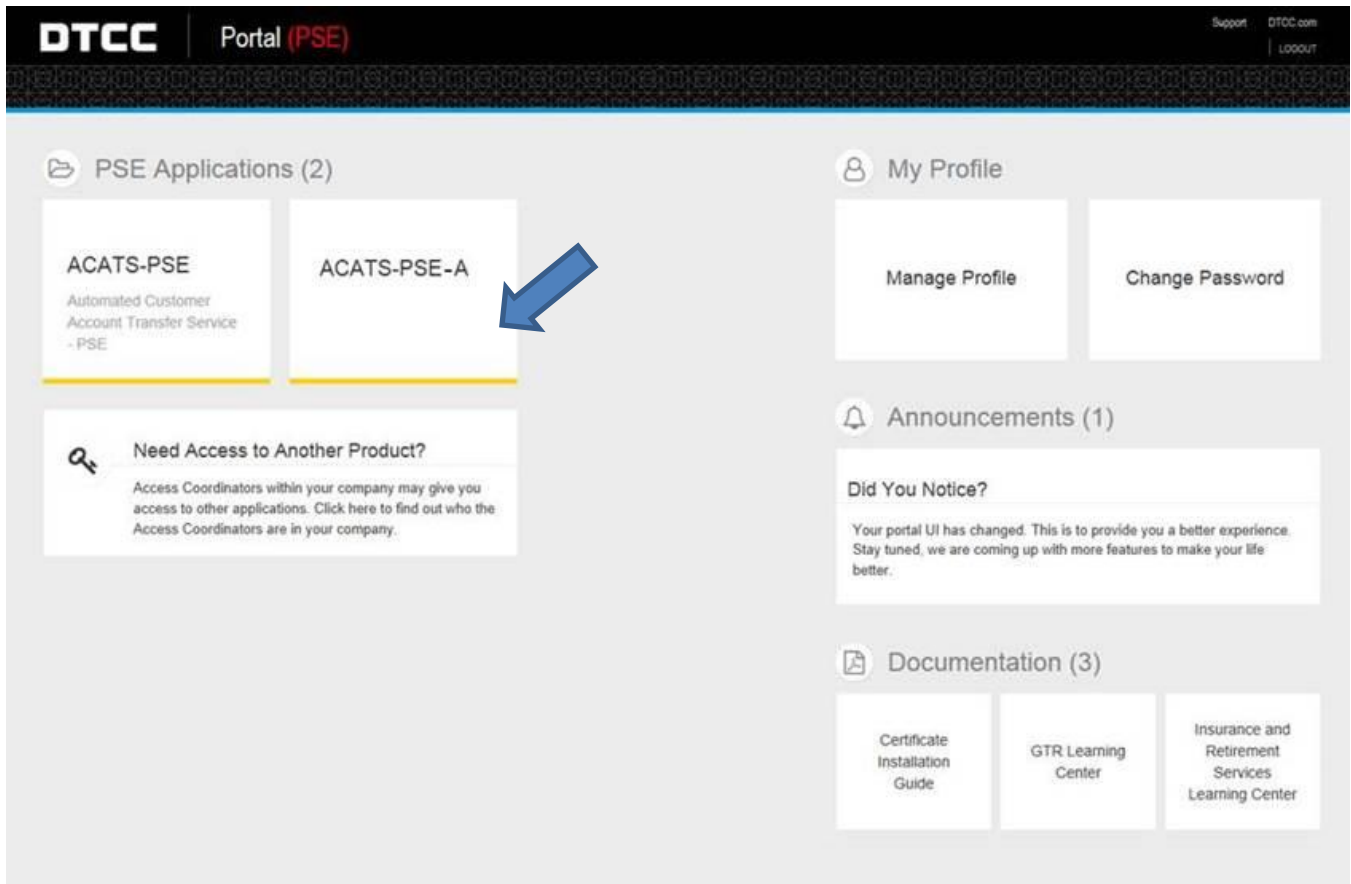
If you did not onboard to PSE-A during T2 industry testing, and wish to continue to test in PSE with existing ACATS functionality, you should contact CAPS (clientconnect@dtcc.com) to begin the onboarding process to gain PSE-A access. This will require completing a router exchange form. Setup will take a minimum of 10 business days, so get your connectivity process started as early as possible if you need continued access to testing in the existing ACATS system.

**For ACATS portal users:**

For firms that retrieve their output via the DTCC portal, a new icon will be available to connect into the A region. If you already have access to the PSE-U portal, no additional entitlements are needed. For input submission, you can use either PSE-U or PSE-A.

Regarding PC Web Direct output:

Any reports currently going to PC Web Direct will be routed to reports suffixed with an “A”... ie – “Intraday MRO” becomes “Intraday MRO A”.



#### Client Support:

- Your Relationship Manager – for questions concerning the enhancements
- NSCC Support – for questions related to ACATS daily issues (transactions, processing, file content, etc). Email or hotline, [nsccsupport@dtcc.com](mailto:nsccsupport@dtcc.com), 888-382-2721 follow prompts.
- CAPS – for A-system connectivity setup and setup-related issues.  
Email: [clientconnect@dtcc.com](mailto:clientconnect@dtcc.com)

#### Additional Information:

A copy of this Important Notice can be obtained from the website of NSCC's parent corporation, The Depository Trust & Clearing Corporation, at <http://www.dtcc.com>.

If you have any questions regarding these enhancements, please contact your DTCC Relationship Manager.

Craig Gurien  
Product Manager, ACATS