

| A#: | 8674 |
|------------|---|
| P&S #: | 8249 |
| Date: | 01/25/2019 |
| To: | ALL PARTICIPANTS |
| Attention: | OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS |
| From: | ACCOUNT ADMINISTRATION |
| Subject: | CHANGES IN THE LIST OF PARTICIPANTS |

• The following firm will retire from all services, as detailed below:

Last Trade Date, January 24, 2019

Broker Dealer Financial Services Corp.

No. 5985

• The following firm will begin participation in the Omni/SERV Activity & Position services, as detailed below:

First Trade Date, January 24, 2019:

Neuberger Berman Management LLC/Neuberger Berman Investment Funds

No. 6681

• The following firm will begin participation, as a Third Party Administrator, in the Fund/SERV and Networking services, as detailed below:

First Trade Date, January 25, 2019:

Benefit Trust Company

No. 7136

Contact: Dave Kenward Phone: (913) 663-0725

• The following firm will begin participation in the Alternative Investment Products services, as detailed below:

First Trade Date, January 25, 2019

Global SME Growth Fund LP

No. 4022

Opus Fund Services (USA) LLC/Poise Lending Fund Feeder SP No. 4051

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The following firm will begin participation in the Insurance Processing service, as detailed below:
 First Trade Date, January 25, 2019:
 BROKERS INTERNATIONAL, LTD.
 No. 4021

Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

| | <u>Clearing</u> <u>Broker</u> | | Executing Broker | Products OTC/CMU | | | |
|---|----------------------------------|---------|---------------------|---------------------|------|-------------|------------|
| | <u>Alpha</u> | Numeric | <u>Alpha</u> | <u>OTC</u> | Corp | <u>Muni</u> | <u>UIT</u> |
| Delete Last Trade Date, January 23, 2019 GSN North America, Inc. | ECUT | 0100 | ESNA | ✓ | | | |
| Delete Last Trade Date, January 25, 2019 Means Investment Company, Inc. | NFSC | 0226 | MEAN | | ✓ | √ | ✓ |

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.