



Important Notice
National Securities Clearing Corporation

A#:	8775
P&S #:	8349
Date:	11/22/2019
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following update from Important Notice A#8774 (P&S#8348) dated November 15, 2019 has been revised as follows:

Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Executing Broker Name Change, Effective First Trade Date, November 18, 2019</u>							
From: Rosenblatt Securities Inc.	IBFS	0824	ROSN	✓			
To: Rosenblatt Securities Inc.	ITGI	0099	ROSN	✓			

- The following firm will retire from all services, as detailed below:

Last Trade Date, November 25, 2019:

Quasar Distributors, LLC/COHO Partners Funds No. 7087

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis, MF Daily Price & Rate and ACATS Fund/SERV services, as detailed below:

First Trade Date, November 26, 2019

Foreside Fund Services, LLC/COHO Partners Funds No. 7087

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- The following firms will retire from all services, as detailed below:

Last Trade Date, November 22, 2019:

OppenheimerFunds Distributor Inc./OppenheimerFunds ICAV No. 8263

Last Trade Date, November 27, 2019:

Triloma Securities, LLC/Watermark Lodging Investors LLC No. 3588

- The following firms will begin participation in DTCC Payment aXis and Invoicing and Fee Settlement: 12B-1 services, as detailed below:

First Trade Date, November 26, 2019

Jefferson National Life Insurance Company No. 4573

First Trade Date, November 27, 2019

Jefferson National Life Insurance Company/Jefferson National Life Insurance Company Of New York No. 3165

- The following firms will begin participation in Omni/SERV Activity & Position services, as detailed below:

First Trade Date, December 02, 2019

Quasar Distributors, LLC/Jensen Portfolio Inc No. 5491

ALPS Distributors Inc./Resource Real Estate Diversified Income Fund No. 7047

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, November 21, 2019

Cortland Fund Services LLC/Group RMC Master Co-Invest, L.P. No. 4429

Cortland Fund Services LLC/Group RMC Master Co-Invest Parallel, L.P. No. 4430

First Trade Date, November 26, 2019

Angelo, Gordon & Co., L.P./AG Net Lease Realty Fund IV, L.P. No. 4435

- The following firm will begin participation in the Fund/SERV, Networking, Omni/SERV Activity & Position, MF Profile and ACATS Fund/SERV services, as detailed below:

First Trade Date, December 02, 2019:

Jackson National Life Distributors LLC No. 4301

Contact: Scott Schulenburg

Phone: (414) 299-2401

- The following additional number has been assigned Foreside Distribution Services, L.P. (#4967) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, November 21, 2019:

Foreside Distribution Services, L.P./Credicorp Capital Asset Management Fund No. 4380
 Contact: Sandrine Cherain
 Phone: (352) 4640-10797

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, effective</u>							
<u>First Trade Date, November 20, 2019</u>							
From: Creditex Securities Corporation	NITC	0295	VABD				✓
To: Creditex Securities Corporation	ICEX	4264	VABD				✓
<u>Clearing Broker Change, effective</u>							
<u>First Trade Date, November 21, 2019</u>							
From: Ferretti Group, Inc.	IBFS	0824	STEP	✓			
To: Ferretti Group, Inc.	ECUT	0100	STEP	✓			
<u>Clearing Broker Change, effective</u>							
<u>First Trade Date, November 21, 2019</u>							
From: Citadel Securities LLC	CDRG	8430	ICVT	✓			
To: Citadel Securities LLC	BSCC	0352	ICVT	✓			
<u>Clearing Broker Change, effective</u>							
<u>First Trade Date, November 25, 2019</u>							
From: Wall Street Access	IBFS	0824	WSAG	✓			
To: Wall Street Access	PERS	0443	WSAG	✓			
<u>Delete Last Trade Date, November 20, 2019</u>							
Allen & Co.	WRET	0141	ALEN		✓	✓	✓
Stockcross Financial Services, Inc.	NFSC	0226	STOS	✓	✓	✓	✓
<u>Delete Last Trade Date, November 22, 2019</u>							
Cowen Execution Services LLC	MLCO	0161	ADRD	✓			
<u>Delete Last Trade Date, November 25, 2019</u>							
CFG Captial Markets, LLC	PERS	0443	HAVN		✓	✓	✓
<u>Delete Last Trade Date, November 26, 2019</u>							
Green Street Trading, LLC	IBFS	0824	GNAV	✓			

Add First Trade Date, November 21, 2019

Prime Number Capital, LLC	VLOX	3856	PNCS	✓	
Citadel Securities LLC	BEST	0352	ICVT		✓

Add First Trade Date, November 22, 2019

Just2trade, Inc.	VLOX	3856	JUSX	✓	
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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.