



Important Notice
National Securities Clearing Corporation

A#:	8680
P&S #:	8255
Date:	02/15/2019
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following update from Important Notice A#8679 (P&S#8254) dated February 08, 2019 has been revised as follows:

Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>	
<u>Clearing Broker Change, effective</u>								
<u>First Trade Date, February 11, 2019</u>								
From: Barrington Research Associates, INC.	NFSC	0226	BRRR	✓	✓	✓	✓	
To: Barrington Research Associates, INC.	WSGD	8199	BRRR	✓	✓	✓	✓	

- The following firm will retire from all services, as detailed below:

Last Trade Date, February 15, 2019:

Quasar Distributors, LLC/Merger Fund

No. 6601

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services as detailed below:

First Trade Date, February 19, 2019:

Foreside Fund Services, LLC/Merger Fund

No. 6601

Contact: Casandra Sauer

Phone: (414) 203-9122

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

- The following firm will retire from all services, as detailed below:

Last Trade Date, February 15, 2019

KBS Capital Markets Group, LLC/Princeton Private Investment Fund No. 6790

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, February 19, 2019

Gemini Fund Services, LLC/Princeton Private Investment Fund No. 6790

- The following firms will retire from all services, as detailed below:

Last Trade Date, February 13, 2019

Trez Capital US Real Estate Debt Fund I, LP No. 8029

Last Trade Date, February 14, 2019

Gold Bullion International, LLC No. 1142

Cormark Securities Inc./CDS No. 5055

Last Trade Date, February 19, 2019

West Bank No. 9088

Reliance Trust Company/Edinburgh Funds No. 3520

Lincoln Investment Planning, LLC/Legend Equities No. 0340

Lincoln Investment Planning, LLC/Freemark No. 0960

- The following firm will no longer participate in the ACATS service, as detailed below:

Last Trade Date, February 22, 2019

BBVA Securities Inc. No. 2786

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, March 01, 2019:

Robert W. Baird & Co. Incorporated No. 4905

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, February 14, 2019

Fairview Investment Administration, LLC No. 4049

UMB Fund Services, Inc./Cygnus Funds No. 4066

First Trade Date, February 20, 2019

Opus Fund Services (USA) LLC/Blue Elephant Offshore Specialty Finance Fund Ltd

No. 4075

- The following firm will begin participation in the Insurance Processing service, as detailed below:

First Trade Date, February 14, 2019:

Chalice Capital Partners, LLC

No. 4069

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF – Profile, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, February 15, 2019:

Foreside Fund Services, LLC/Cliffwater Corporate Lending Fund

No. 3993

Contact: Darren Siverling

Phone: (414) 299-2160

- The following additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, February 15, 2019:

ALPS Distributors, Inc./IWS Credit Income Fund

No. 4030

Contact: Anita Rose

Phone: (816) 435-3781

Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, February 14, 2019</u>							
Benjamin & Jerold Brokerage I, LLC	ETCC	0873	PATH	✓			
Benjamin & Jerold Brokerage I, LLC	ETCC	0873	BJRP	✓			
Benjamin & Jerold Brokerage I, LLC	ETCC	0873	BDVE	✓			
Benjamin & Jerold Brokerage I, LLC	ETCC	0873	BEJB	✓			
<u>Add First Trade Date, February 14, 2019</u>							
Cor Clearing LLC	LGNT	0052	CORR		✓		✓
<u>Add First Trade Date, February 15, 2019</u>							
Hoopoe Capital Markets, LLC	RBCD	0235	HCMX	✓	✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.