

A#: 8682
P&S# 8257
Date: February 20, 2019
To: ALL MEMBERS AND LIMITED MEMBERS
Attention: MANAGERS; OPERATIONS DEPT FOR ANNUITIES & LIFE INSURANCE,
BROKERAGE OPERATIONS, INFORMATION SYSTEMS
From: NSCC Insurance & Retirement Services
Subject: I&RS 2019 March Enhancement Release

Overview:

As part of our ongoing effort to support industry requests, the Insurance and Retirement Processing Services (“I&RS”) has scheduled an enhancement release for March 2019. This is the first of two scheduled enhancement releases for 2019. I&RS Enhancement Releases include Review Board Enhancement Requests submitted by members, as well as maintenance items National Securities Clearing Corporation (“NSCC”) deems necessary to improve the overall operational performance of the systems.

The enhancements include the addition of new information fields on current I&RS record layouts to support new features on I&RS products. Modifications include new fields and code values added to existing code lists.

The enhancements in the March 2019 release will affect the following services and functionalities:

AAP – Asset Pricing
COM – Commissions
CST – Commission Schedule Transmittal
FAR – Financial Activity Reporting
FET – Fee & Expense Transmittal
IFT – InForce Transactions (REP/BIN)
IFW – InForce Web Transactions
LNA – Licensing & Appointments
POV – Positions & Valuations
PRP – Positions for Retirement Plans
STL – Settlement for Insurance

Schedule:

Test - Thursday, February 21, 2019

Production – Thursday, March 21, 2019

Specification Documents:

Documentation is available at the I&RS website, <http://www.dtcc.com/wealth-management-services/insurance-and-retirement-services/technical-support-center>. Click on the Current Release link within the Enhancements section.

All changes on the record layouts are highlighted in yellow. Items may be added to the list below as NSCC may deem advisable.

- March 2019 Enhancement Release Technical Specifications Document
- Draft COM Record Layouts
- Draft FAR Record Layouts
- Draft Inforce Web Transactions Data Dictionary & Schemas
- Draft POV Record Layouts
- Draft PRP Record Layouts
- Draft STL Record Layouts

Release Specifications:

These changes are based on the recommendations made by the originator of the enhancement request and approved by I&RS.

The enhancement requests can be found at our website under “Current Release” in the Enhancements section of our website. Do not use the actual enhancement request document for programming. The Draft Record Layouts and 2019 March Enhancement Release Technical Specifications Document should be used for this purpose. We are making the enhancement request documents available to summarize the business purpose for the changes.

For additional information on this enhancement release, please contact your Relationship Manager.

Jeanann Smith
Director, Product Management

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