



## Important Notice

National Securities Clearing Corporation

<b>P&amp;S #:</b>	<b>8248</b>
<b>A#:</b>	<b>8673</b>
<b>Date:</b>	<b>February 6, 2019</b>
<b>To:</b>	<b>All Participants</b>
<b>Attention:</b>	<b>Managing Partner/Officer, P&amp;S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants, I&amp;RS Participants</b>
<b>From:</b>	<b>NSCC Operations</b>
<b>Subject:</b>	<b>PRESIDENTS DAY HOLIDAY SCHEDULE</b>

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces, CMU/RTTM, and all U.S. Federal Banks will be closed on Monday, February 18<sup>th</sup> in observance of Presidents Day. The following changes in NSCC's operations and schedules will be in effect.

### **COMPARISON SYSTEMS**

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Friday, February 15<sup>th</sup> will be accepted no later than 8:00 pm on Friday, February 15<sup>th</sup>.

### **TRADE CAPTURE AND REPORTING**

No UTC processing will take place on Monday, February 18<sup>th</sup>.

### **CNS CONTINUOUS NET SETTLEMENT**

Seg. Exemptions and Priority Requests for settlement of Tuesday, February 19<sup>th</sup> will be accepted on Friday Evening, February 15<sup>th</sup>.

*The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Presidents Day processing to determine their schedules in effect.*

### **CENTRAL DELIVERY AND SETTLEMENT**

No Delivery Services or Settlement Operations will be provided on Monday, February 18<sup>th</sup>.

### **INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Friday, February 15<sup>th</sup> for settlement Tuesday, February 19<sup>th</sup>.

### **ACATS- AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE**

No ACATS activity will be accepted on Monday, February 18<sup>th</sup>.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

### **ACATS - FUND/SERV INTERFACE**

No ACATS-Fund/SERV Interface activity will be accepted on Monday, February 18<sup>th</sup>.

### **ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Monday, February 18<sup>th</sup>.

### **OBLIGATION WAREHOUSE**

OW will be closed for the business day Monday, February 18<sup>th</sup>. Input will be accepted, but not processed and no output generated for OW. Any submission after close of business Friday, February 15<sup>th</sup> should be for the next business date. Normal processing will resume for business day Tuesday, February 19<sup>th</sup>.

### **DTCC Payment aXis®.**

No DTCC Payment aXis activity will be accepted on Monday, February 18<sup>th</sup>. There will be no money settlement.

### **FUND/SERV®, NETWORKING & OMNI/SERV®**

No Fund/SERV, Networking or OMNI/SERV activity will be accepted or distributed on Monday, February 18<sup>th</sup>. There will be no money settlement.

### **MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)**

No MFPSI or MFPSII activity will be accepted on Monday, February 18<sup>th</sup>.

### **ALTERNATIVE INVESTMENT PRODUCTS**

The AIP System will process transactions and create settlement data files, but there will be no money settlement on February 18<sup>th</sup>.

### **INSURANCE PROCESSING**

IPS files received on Monday, February 18<sup>th</sup> will be processed. **POV files will be transmitted on Monday, February 18<sup>th</sup>.** Subsequent output regarding all other files, including settlement output, will be produced and distributed on Tuesday, February 19<sup>th</sup>.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or at the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.