



A#:	8679
P&S #:	8254
Date:	02/08/2019
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following update from Important Notice A#8674 (P&S#8249) dated January 25, 2019 has been revised as follows:

- The following firm will begin participation in the Insurance Processing service, as detailed below:
First Trade Date, January 25, 2019
Brokers International, Ltd. No. 4025

- The following firm will retire from all services, as detailed below:
Last Trade Date, February 06, 2019:
Northern Lights Distributors, LLC/The Multi-Strategy Growth & Income Fund No. 3784

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Destra Capital Investments LLC (#3739) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services as detailed below:
First Trade Date, February 07, 2019:
Destra Capital Investments LLC/Multi-Alternative Fund No. 3784
Contact: Chris Baird
Phone: (402) 896-7233

- The following firm will retire from all services, as detailed below:
Last Trade Date, February 14, 2019
Cormark Securities Inc./CDS No. 5055

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- The following firm will no longer participate in the MF – Profile service, as detailed below:
Last Trade Date, February 08, 2019
ALPS Distributors, Inc./Cognios M3sixty Funds Trust No. 3673
- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:
First Trade Date, March 01, 2019:
ALPS Distributors Inc./ALPS Funds Services No. 8391
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, February 08, 2019
Phoenix American Financial Services, Inc. No. 3983
UMB Fund Services, Inc./NB Alternatives Advisers Funds No. 4065
- The following firm will begin participation in the Insurance Processing service, as detailed below:
First Trade Date, February 11, 2019:
The Impact Partnership, LLC No. 4063
- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:
First Trade Date, March 01, 2019:
Ultimus Fund Distributors, LLC/Reynders Mcveigh Funds No. 4047
Contact: Doug Jones
Phone: (513) 587-3408

Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>	
<u>Clearing Broker Change, effective</u>								
<u>First Trade Date, February 12, 2019</u>								
From: Jump Trading, LLC	GCSO	0005	JHAX	✓				
To: Jump Trading, LLC	PRST	0551	JHAX	✓				
<u>Executing Symbol and Name Change, effective</u>								
<u>First Trade Date, February 11, 2019</u>								
From: Rice Financial Products Company	LGNT	0052	APEX	✓	✓	✓	✓	
To: Rice Securities, LLC	LGNT	0052	RICE	✓	✓	✓	✓	

Add First Trade Date, February 08, 2019

Tigress Financial Partners, LLC

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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.