



Important Notice
National Securities Clearing Corporation

A#:	8690
P&S #:	8265
Date:	03/15/2019
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, March 14, 2019:

Rafferty Capital Markets LLC/State No. 2712

Last Trade Date, March 15, 2019:

Northeast Securities, Inc. No. 0231

Last Trade Date, March 29, 2019:

State Street Bank & Trust Company/JPMorgan/Celanese Americas LLC No. 7022

- The following firm will no longer participate in the OTC Comparison, Trade Comparison and Trade Recording and Obligation Warehouse services, as detailed below:

Last Trade Date, March 21, 2019:

Citigroup Global Markets, Inc./Correspondent Clearing No. 0418
OTC Alpha Identifier CFSC
MUNI Identifier SBSH
CORP BONDS Identifier SBSH

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- The following firm will change their name only, as detailed below:

First Trade Date, March 15, 2019:

From: Ultimus Private Fund Solutions, LLC/The South Georgia Partners, LP	No.	6718
To: Ultimus Private Fund Solutions, LLC/Raintree Multi-Strategy Fund LP	No.	6718

- The following firm will begin participation in the OTC Comparison and NYSE Listed services, as detailed below:

First Trade Date, March 19, 2019:

Clear Street LLC	No.	9132
OTC Alpha Identifier	CLST	
NYSE Alpha Identifier	CLST	

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, March 15, 2019:

ALPS Distributors, Inc./Emerald Funds	No.	3619
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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, March 14, 2019

Emerson Equity LLC/Virtua Partners LLC	No.	4071
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- First Trade Date, March 18, 2019:**

SS&C Technologies, Inc./K2 Wamco Liquid Macro Opportunities Fund Ltd.	No.	4114
SS&C Technologies, Inc./K2 PWP Diversified Equity Fund Ltd.	No.	4115
SS&C Technologies, Inc./K2 PHCM Liquid Opportunities Fund Ltd.	No.	4116
SS&C Technologies, Inc./K2 Medalist Liquid Structured Credit Fund Ltd.	No.	4117
SS&C Technologies, Inc./K2 Lyrical Liquid Equity Fund Ltd.	No.	4118
SS&C Technologies, Inc./K2 GMO SGM Liquid Major Markets Fund Ltd.	No.	4120
SS&C Technologies, Inc./K2 Apollo Liquid Credit Fund Ltd.	No.	4121
SS&C Technologies, Inc./K2 Wellington Liquid Healthcare Fund Ltd.	No.	4122
SS&C Technologies, Inc./Compartment VII	No.	4123
SS&C Technologies, Inc./Compartment VIII	No.	4124

- The following firm will begin participation in the ACATS services, as detailed below:

First Trade Date, March 19, 2019:

Clear Street LLC	No.	9132
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Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, effective First Trade Date, March 15, 2019</u>							
From: NW Capital Markets Inc	SWST	0279	NWCM	✓	✓	✓	✓
To: NW Capital Markets Inc	RBCD	0235	NWCM	✓	✓	✓	✓
<u>Delete Last Trade Date, March 11, 2019</u>							
Auriga USA, Inc.	PERS	0443	ARGA	✓	✓		✓
Viewtrade Securities, Inc.	MLCO	0161	VIEW	✓		✓	
<u>Delete Last Trade Date, March 15, 2019</u>							
First Empire Securities, Inc.	PERS	0443	FESI	✓	✓	✓	✓
<u>Add First Trade Date, March 13, 2019</u>							
Forte Securities LLC	MIRA	1043	FORT	✓	✓		✓
<u>Add First Trade Date, March 15, 2019</u>							
COR Clearing LLC	LGNT	0052	AXCL	✓	✓	✓	✓
<u>Add First Trade Date, March 18, 2019</u>							
tradition Securities And Derivatives Inc.	PERS	0443	TMIN	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.