



A#:	8694
P&S #:	8269
Date:	03/29/2019
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

Effective with the opening of business on April 1, 2019, Bankers' Bank will change its primary account number from 6409 to 2557. As a result, the following account changes will occur:

- The following firm will retire from all services, as detailed below:

Last Trade Date, March 29, 2019:

Bankers' Bank No. 6409
MUNI Alpha Identifier BBID

- The following firm will change their name, as detailed below:

First Trade Date, April 01, 2019:

From: Bankers' Bank/Temp No. 2557
To: Bankers' Bank No. 2557

- The following firm will retire from all services, as detailed below:

Last Trade Date, March 29, 2019:

Rafferty Capital Markets, LLC/Entrepreneur Shares No. 5367

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services as detailed below:

First Trade Date, April 01, 2019:

Foreside Fund Services, LLC/Entrepreneur Shares No. 5367
Contact: Darren Siverling
Phone: (414) 299-2160

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- The following firm will retire from all services, as detailed below:

Last Trade Date, March 29, 2019:

Quasar Distributors, LLC/ Brown Advisory Funds No. 5437

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Alps Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV, MF - Profile, Omni/SERV Activity & Position, and DTCC Payment aXis services as detailed below:

First Trade Date, April 01, 2019:

Alps Distributors, Inc./Brown Advisory Funds No. 5437

Contact: Peter Irving

Phone: (414) 287-3689

- The following firms will retire from all services, as detailed below:

Last Trade Date, March 28, 2019:

Alps Distributors, Inc./Grandeur Peak Funds No. 3759

Alps Distributors, Inc./Fit-Alps Funds No. 5904

Alps Distributors Inc./Alps Variable Investment Trust #6609 No. 6609

Last Trade Date, March 29, 2019:

Quasar Distributors, LLC/Hatteras Funds No. 5449

- The following firms will change their name only, as detailed below:

First Trade Date, March 27, 2019:

From: COR Clearing LLC No. 0052

To: AXOS Clearing LLC No. 0052

From: COR Clearing LLC No. 0648

To: AXOS Clearing LLC No. 0648

From: COR Clearing LLC/Correspondent Flip Facilitation Account No. 1186

To: AXOS Clearing LLC/Correspondent Flip Facilitation Account No. 1186

- The following firm will no longer participate in Municipal Comparison Only, as detailed below:

Last Trade Date, March 25, 2019:

J.P. Morgan Securities LLC No. 0060

MUNI Alpha Identifier JPMS

- The following firm will begin participation in the Bond Comparison service, as detailed below:

First Trade Date, March 26, 2019:

J.P. Morgan Securities LLC	No.	0352
CORP Alpha Identifier		BEST
UIT Alpha Identifier		BEST

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, April 02, 2019:

Alliancebernstein Inv Research & MGT,Inc Intl Div	No.	5809
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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, March 28, 2019

US Bancorp Fund Services LLC/Ellington Private Opportunities Fund II LP	No.	4143
US Bancorp Fund Services LLC/Ellington Private Opportunities Partners II LP	No.	4144
US Bancorp Fund Services LLC/Epof Intermediate II (A) LLC	No.	4145
US Bancorp Fund Services LLC/Epof Intermediate II (B) LP	No.	4146

First Trade Date, April 01, 2019

Abbey Capital Limited	No.	4068
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First Trade Date, April 02, 2019

Phoenix American Financial Services, Inc./Hartman Income Reit, Inc	No.	4149
Phoenix American Financial Services, Inc./Hartman Short Term Income Properties XIX, Inc.	No.	4151
Phoenix American Financial Services, Inc./Hartman Short Term Income Properties XX, Inc.	No.	4152

First Trade Date, April 03, 2019

Atlas Fund Services (Usa) LLC/Garin Global Fund Spc Diversified Segregated Portfolio Class B	No.	4154
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- The following additional number has been assigned to Foreside Distribution Services, L.P. (#4967) for the purpose of utilizing Fund/SERV, Networking, ACATS Fund/SERV, MF - Daily Price & Rate, and DTCC Payment aXis services, as detailed below:

First Trade Date, March 27, 2019:

Foreside Distribution Services, L.P./Montlake UCITS	No.	7432
Contact: John Sheridan		
Phone: +353 01 5422176		

Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing and Executing Name Change effective,</u>							
<u>First Trade Date, March 27, 2019</u>							
From: COR Clearing LLC	LGNT	0052	LGNT	✓	✓	✓	✓
To: AXOS Clearing LLC	LGNT	0052	LGNT	✓	✓	✓	✓
<u>Add First Trade Date, March 26, 2019</u>							
Jane Street Capital LLC	JNST	0352	BSSC	✓		✓	
<u>Add First Trade Date, March 27, 2019</u>							
Primary Capital, LLC	JLPC	0595	VSIN	✓	✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.