



<b>A#:</b>	8689
<b>P&amp;S #:</b>	8264
<b>Date:</b>	03/08/2019
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, March 07, 2019:**

STAAR Investment Trust

No. 6736

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services as detailed below:

**First Trade Date, March 08, 2019:**

Ultimus Fund Distributors, LLC/STAAR Investment Trust

No. 6736

Contact: Doug Jones

Phone: (513) 587-3408

- The following firms will retire from all services, as detailed below:

**Last Trade Date, March 08, 2019:**

Foresters Equity Services, Inc.

No. 0149

**Last Trade Date, March 18, 2019:**

Zions Bancorporation, National Association/Western National

No. 2736

**Last Trade Date, March 19, 2019:**

SEI Investments Distribution, Co./TD Asset Management USA Funds Inc.

No. 6539

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Non-Confidential

- The following firms will change their name only, as detailed below:

**First Trade Date, March 06, 2019:**

From: Gemini Fund Services, LLC/Princeton Private Investment Fund	No.	6790
To: Gemini Fund Services, LLC/Princeton Private Investments Access Fund	No.	6790

**First Trade Date, March 11, 2019:**

From: Ultimus Private Fund Solutions, LLC/The South Georgia Equity Income Fund LP	No.	4610
To: Ultimus Private Fund Solutions, LLC/Raintree Opportunity Fund LP	No.	4610

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, March 11, 2019:**

Forside Fund Services, LLC/USA Mutuals	No.	5847
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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, March 11, 2019**

Fairview Investment Administration, LLC/Sharpvue Real Estate Fund 2018 AI, LP	No.	4105
Fairview Investment Administration, LLC/Sharpvue Real Estate Fund 2018 QP, LP	No.	4106

- The following firm will begin participation in the Insurance Processing service, as detailed below:

**First Trade Date, March 12, 2019:**

Farm Bureau Life Insurance Company	No.	3578
D.L. Harris & Associates Insurance Services, Inc.	No.	3956

- The following additional number has been assigned to CDS Clearing And Depository Services Inc. (#5099) as detailed below:

**First Trade Date, March 08, 2019:**

CDS Clearing And Depository Services Inc./CDS Default Management Control Account	No.	5068
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Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			UIT
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<b><u>Clearing Broker Change, effective</u></b>							
<b><u>First Trade Date, March 06, 2019</u></b>							
From: American Veterans Group, PBC	WSGD	8199	VETS		✓	✓	
To: American Veterans Group, PBC	RBCD	0235	VETS		✓	✓	
<b><u>First Trade Date, March 11, 2019</u></b>							
From: The Vertical Trading Group, LLC	MLCO	0161	VERT	✓	✓	✓	
To: The Vertical Trading Group, LLC	WSGD	8199	VERT	✓	✓	✓	✓
<b><u>Executing Name Change effective,</u></b>							
<b><u>First Trade Date, March 18, 2019</u></b>							
From: First Empire Securities, Inc.	PERS	0443	FESI	✓	✓	✓	✓
To: Stifel Nicolaus & Company, Incorporated	PERS	0443	FESI	✓	✓	✓	✓
<b><u>Delete Last Trade Date, March 06, 2019</u></b>							
Mell (W.H.) Associates, Inc.	PERS	0443	MELL		✓	✓	✓
<b><u>Add First Trade Date, March 06, 2019</u></b>							
American Veterans Group, PBC	RBCD	0235	VETS		✓	✓	
<b><u>Add First Trade Date, March 08, 2019</u></b>							
Speedroute LLC	ETCC	0873	SPDE	✓			

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).