



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8700
<b>P&amp;S #:</b>	8275
<b>Date:</b>	04/26/2019
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, April 29, 2019:**

Madison Investment Advisors, LLC/Ultra Series Fund

No. 3765

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to MFD Distributor, LLC (#4987) for the purpose of utilizing Fund/SERV, Networking and MF – Daily Price & Rate services, as detailed below:

**First Trade Date, April 30, 2019:**

MFD Distributor, LLC/Ultra Series Fund

No. 3765

Contact: Holly S Baggot

Phone: (608) 216-9190

- The following firms will retire from all services, as detailed below:

**Last Trade Date, April 24, 2019:**

City National Bank

No. 2392

**Last Trade Date, April 25, 2019:**

QTrade Securities Inc./CDS

No. 5009

**Last Trade Date, April 30, 2019:**

Intrustnet Insurance Services, LLC

No. 3199

Madison Investment Advisors, LLC

No. 6577

City National Bank

No. 9006

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

- The following firm will no longer participate in OTC Comparison, Trade Recording, Obligation Warehouse and Envelope Settlement Services, as detailed below:

**Last Trade Date, April 26, 2019:**

Lombard Odier Transatlantic, Limited Partnership No. 0408  
 OTC Alpha Identifier TRNS

- The following firm will begin participation in the Networking service, as detailed below:

**First Trade Date, May 1, 2019:**

National Life Insurance Company No. 1401

- The following firm will begin participation in the MF - Profile service, as detailed below:

**First Trade Date, May 7, 2019:**

First National Capital Markets, Inc. No. 5371

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, April 25, 2019**

Opus Fund Services (USA) LLC/Lavaca Capital Partners I, LP No. 4177

**First Trade Date, April 26, 2019**

Cortland Fund Services LLC/Mockingbird Corporate Loan Opportunity Fund, LP No. 4181

**First Trade Date, May 1, 2019**

AEI Fund Management, Inc. No. 4140

Invesco Distributors, Inc. No. 4153

AmCap Management LLC/AmCap Necessity Retail Fund LLC No. 4183

- The following additional number has been assigned to OppenheimerFunds Distributor, Inc. (#5511) for the purpose of utilizing Fund/SERV, Networking, MF – Profile and ACATS Fund/SERV services, as detailed below:

**First Trade Date, April 26, 2019:**

OppenheimerFunds Distributor, Inc./OFI Carlyle No. 4171

Contact: Patrick Hurley

Phone: (303) 768-1238

- The following additional number has been assigned to Foreside Distribution Services, L.P. (#4967) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price and Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

**First Trade Date, April 29, 2019:**

Foreside Distribution Services, L.P/MS Horizon Funds Sicav No. 4043

Contact: George Andrews  
 Phone: (240) 614-4954

- The following additional number has been assigned to Federated Securities Corp. (#5529) for the purpose of utilizing Fund/SERV services, as detailed below:

**First Trade Date, May 1, 2019:**

Federated Securities Corp./Federated Cayman No. 4147

Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Clearing Broker Change, effective</u></b>							
<b><u>First Trade Date, April 25, 2019</u></b>							
From: Celadon Financial Group LLC	PRST	0551	CLDN	✓			
To: Celadon Financial Group LLC	DAIN	0235	CLDN	✓			
<b><u>Delete Last Trade Date, April 23, 2019</u></b>							
Electronic Transaction Clearing, Inc.	ETCC	0873	ETAB	✓			
<b><u>Delete Last Trade Date, April 26, 2019</u></b>							
PDQ ATS, Inc.	MLCO	0161	PDQM	✓	✓		
PDQ ATS, Inc.	MLCO	0161	PDQX	✓	✓		
<b><u>Add First Trade Date, April 29, 2019</u></b>							
Garden State Securities, Inc.	LGNT	0052	GSSI	✓	✓	✓	✓
<b><u>Add First Trade Date, April 30, 2019</u></b>							
TJM Investments, LLC	ICBK	0388	TJMB	✓			
TJM Investments, LLC	ICBK	0388	TJMG	✓	✓	✓	
TJM Investments, LLC	ICBK	0388	TJMT	✓			
<b><u>Add First Trade Date, May 1, 2019</u></b>							
Electronic Transaction Clearing, Inc.	ETCC	0873	ETFP	✓			
<b><u>Add First Trade Date, July 15, 2019</u></b>							
People's Securities, Inc.	NFSC	0226	PPLN		✓	✓	✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).