



A#:	8704
P&S #:	8279
Date:	05/10/2019
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, May 17, 2019:

Quasar Distributors, LLC/Bridge Builder Trust

No. 7057

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, MF – Daily Price & Rate and ACATS Fund/SERV services, as detailed below:

First Trade Date, May 20, 2019:

ALPS Distributors, Inc./Bridge Builder Funds

No. 7057

Contact: Dave Nelson

Phone: (720) 917-0709

Effective with the opening of business on May 13, 2019, BOFA Securities, Inc. will begin participation as a member of NSCC and assume all open contracts for NSCC account numbers 0161, 0773 and 6772 of Merrill Lynch, Pierce, Fenner & Smith Incorporated without any changes to its existing services. As a result, the following account changes will occur:

- The following firms will change their name, as detailed below:

First Trade Date, May 13, 2019:

From: Merrill Lynch, Pierce, Fenner And Smith, Inc.

No. 0161

To: BOFA Securities, Inc.

No. 0161

From: Merrill Lynch, Pierce, Fenner & Smith Incorporated/Fixed Income

No. 0773

To: BOFA Securities, Inc./Fixed Income

No. 0773

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From: Merrill Lynch, Pierce, Fenner & Smith Incorporated/RTTM	No.	6772
To: BOFA Securities, Inc./RTTM	No.	6772

In connection with the above account assumption and effective with the opening of business on May 13, 2019 Merrill Lynch, Pierce, Fenner & Smith Incorporated will change its primary account number from 0161 to 0671. As a result, the following account changes will occur:

- The following firm will change their name, as detailed below:

First Trade Date, May 13, 2019:

From: Merrill Lynch, Pierce, Fenner & Smith Incorporated/671 MLPF&S TS PR	No.	0671
To: Merrill Lynch, Pierce, Fenner & Smith Incorporated	No.	0671

- The following firm will now become a sub account of 0671 Merrill Lynch, Pierce, Fenner & Smith Incorporated.

First Trade Date, May 13, 2019:

Merrill Lynch, Pierce, Fenner & Smith Incorporated/BAI	No.	0424
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- The following firms will retire from all services, as detailed below:

Last Trade Date, May 10, 2019:

APS/Hill Street Partners, LLC	No.	6968
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Last Trade Date, May 13, 2019:

Foreside Distribution Services, L.P./Victory Institutional Funds	No.	5723
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- The following firm will no longer participate in the OTC and Bond Comparison service, as detailed below:

Last Trade Date, May 10, 2019

C.L. King & Associates, Inc.	No.	5144
OTC Alpha Identifier:	KING	
CORP Alpha Identifier:	KING	
MUNI Alpha Identifier:	KING	
UIT Alpha Identifier:	KING	

- The following firm will change their name only, as detailed below:

First Trade Date, May 13, 2019:

From: Aesalon Capital Management LLC	No.	3996
To: Broadfield Capital Management LLC	No.	3996

- The following firm will begin participation in the MF - Profile service, as detailed below:
First Trade Date, May 09, 2019:
 Quasar Distributors, LLC/Pzena Funds No. 3041
- The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:
First Trade Date, May 14, 2019:
 Syndicated Capital, Inc. No. 4881

First Trade Date, May 17, 2019:
 Foreside Fund Services, LLC No. 5659
- The following firm will begin participation in the Insurance Processing service, as detailed below:
First Trade Date, May 15, 2019:
 Brooklight Place Securities, Inc. No. 4136
- The following firm will begin participation in the Fund/SERV, Networking, MF - Profile and DTCC Payment aXis services, as detailed below:
First Trade Date, May 20, 2019:
 Mid Atlantic Trust Company No. 4079
 Contact: Dan Sterling
 Phone: (412) 391-7077 (Ext. 383)
- The following additional number has been assigned to Metropolitan Life Insurance Company (#5777) for the purpose of utilizing Fund/SERV, Networking and MF - Daily Price and Rate services, as detailed below:
First Trade Date, May 08, 2019:
 Metropolitan Life Insurance Company/Metropolitan Tower Life Insurance Company No. 4057
 Contact: Courtney Sedita
 Phone: (678) 319-1654
- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking and DTCC Payment aXis services, as detailed below:
First Trade Date, May 15, 2019:
 Quasar Distributors, LLC/North Capital Funds No. 4111
 Contact: Casey Sauer
 Phone: (414) 203-9122

- The following additional number has been assigned to SEI Investments Distribution Company (#5717) for the purpose of utilizing Fund/SERV, Networking and MF –Daily Price and Rate services, as detailed below:

First Trade Date, June 03, 2019:

SEI Investments Distribution Company/Frost Family of Funds

No. 4173

Contact: Steve Connors

Phone: (610) 676-3632

Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing & Executing Broker Name Change</u>							
<u>First Trade Date, May 13, 2019</u>							
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLCO	✓	✓	✓	✓
To: BOFA Securities, Inc.	MLCO	0161	MLCO	✓	✓	✓	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	BOFA	0773	BOFA		✓	✓	✓
To: BOFA Securities, Inc.	BOFA	0773	BOFA		✓	✓	✓
<u>Executing Broker Name Change</u>							
<u>First Trade Date, May 13, 2019</u>							
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLBC	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLBC	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLEX	✓	✓		
To: BOFA Securities, Inc.	MLCO	0161	MLEX	✓	✓		
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLIX	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLIX	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLRM	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLRM	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLSE	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLSE	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLTC	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLTC	✓			

From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLTJ	✓
To: BOFA Securities, Inc.	MLCO	0161	MLTJ	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLTL	✓
To: BOFA Securities, Inc.	MLCO	0161	MLTL	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLTQ	✓
To: BOFA Securities, Inc.	MLCO	0161	MLTQ	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLTV	✓
To: BOFA Securities, Inc.	MLCO	0161	MLTV	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLUA	✓
To: BOFA Securities, Inc.	MLCO	0161	MLUA	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLUD	✓
To: BOFA Securities, Inc.	MLCO	0161	MLUD	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLVX	✓
To: BOFA Securities, Inc.	MLCO	0161	MLVX	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZB	✓
To: BOFA Securities, Inc.	MLCO	0161	MLZB	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZC	✓
To: BOFA Securities, Inc.	MLCO	0161	MLZC	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZD	✓
To: BOFA Securities, Inc.	MLCO	0161	MLZD	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZE	✓
To: BOFA Securities, Inc.	MLCO	0161	MLZE	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZF	✓
To: BOFA Securities, Inc.	MLCO	0161	MLZF	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZI	✓
To: BOFA Securities, Inc.	MLCO	0161	MLZI	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZJ	✓
To: BOFA Securities, Inc.	MLCO	0161	MLZJ	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZK	✓
To: BOFA Securities, Inc.	MLCO	0161	MLZK	✓
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZL	✓

To: BOFA Securities, Inc.	MLCO	0161	MLZL	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZM	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLZM	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZN	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLZN	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZO	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLZO	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	WVBD	✓	✓	✓	
To: BOFA Securities, Inc.	MLCO	0161	WVBD	✓	✓	✓	
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	PRST	0551	BCEP	✓			
To: BOFA Securities, Inc.	PRST	0551	BCEP	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	BCEX	✓	✓		
To: BOFA Securities, Inc.	MLCO	0161	BCEX	✓	✓		
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZP	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLZP	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZQ	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLZQ	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLZR	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLZR	✓			
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLCX	✓			
To: BOFA Securities, Inc.	MLCO	0161	MLCX	✓			

Clearing Broker Change, effective

First Trade Date, May 13, 2019

From: C. L. King & Associates, Inc.	KING	0743	KING	✓	✓	✓	✓
To: C. L. King & Associates, Inc.	SWST	0279	KING	✓	✓	✓	✓

Delete Last Trade Date, May 06, 2019

Financorp Group International Corp.	NFSC	0226	FGIC	✓	✓	✓	✓
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Delete Last Trade Date, May 08, 2019

Barrett & Company	NFSC	0226	BARR	✓			
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Delete Last Trade Date, May 09, 2019

Bates Securities, Inc	SWST	0743	BSIB	✓			✓
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Add First Trade Date, May 13, 2019

VELOX Clearing LLC	VLOX	3856	VLOX	✓			
Jane Street Options, LLC	PRST	0551	JSOL	✓			
Intl FCStone Financial Inc.	MLCO	0161	IFCS	✓	✓	✓	✓

Add First Trade Date, May 14, 2019

DRW Securities, L.L.C.	PRST	0551	DRWJ	✓			
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If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.