



Important Notice

National Securities Clearing Corporation

A#:	8705
P&S#:	8280
Date:	May 14, 2019
To:	National Securities Clearing Corporation Participants
Category:	Executive Notice
From:	David LaFalce, Executive Director and Global Head, Business Continuity and Crisis Management
Attention:	Executive Management, CIO, CTO, Network Management
Subject:	NSCC 2019 Business Continuity Testing–Reg SCI

National Securities Clearing Corporation (“NSCC”) will conduct a test of its business continuity and disaster recovery plans in compliance with its obligations under Regulation Systems Compliance and Integrity.

Participants are advised that this testing is scheduled to take place on September 14, 2019 and October 26, 2019. Please note that September 14 is a pre-test and optional for Participants. During these testing weekends, NSCC’s systems will be unavailable between the hours of 5:00AM (ET) Saturday and 6:00AM (ET) Sunday. Although this system testing may impact some processing schedules, regular processing and access will resume immediately following the exercise.

Only Participants that have been designated by NSCC are required to participate in this testing. Such firms have been notified of their testing requirement.

These tests will continue to take place on an annual basis. We will share details about 2020 scheduled testing as they become available.

If you have any questions, please contact your DTCC Relationship Manager.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.