



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8718
<b>P&amp;S #:</b>	8293
<b>Date:</b>	06/14/2019
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, June 14, 2019:**

Ruane, Cunniff & Goldfarb LLC

No. 3726

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following firm will begin participation in the Fund/SERV, Networking, MF – Daily Price & Rate, ACATS and ACATS Fund/SERV services, as detailed below:

**First Trade Date, June 17, 2019:**

Foreside Fund Services, LLC/Sequoia Fund

No. 3726

Contact: Brian O’Loughlin

Phone: (617) 483-9225

- The following firms will retire from all services, as detailed below:

**Last Trade Date, June 14, 2019:**

BMO Harris Bank NA/Trust

No. 0992

**Last Trade Date, June 17, 2019:**

Ultimus Fund Distributors, LLC/Barrow Street Funds

No. 7974

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- The following firm will no longer participate in the ACATS, Obligation Warehouse and Envelope Settlement Services, as detailed below:

**Last Trade Date, June 17, 2019:**

C.L. King & Associates, Inc. No. 0743

- The following firm will begin participation in the MF – Daily Price & Rate service, as detailed below:

**First Trade Date, June 12, 2019:**

Foreside Fund Services, LLC/Entrepreneur Shares No. 5367

- The following firm will begin participation in the NYSE Listed service, as detailed below:

**First Trade Date, June 13, 2019:**

Vision Financial Markets LLC No. 0595  
NYSE Alpha Identifier: VSIN

- The following firms will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, June 13, 2019:**

Frank Funds No. 6671

**First Trade Date, June 17, 2019:**

Foreside Fund Services, LLC/North Square Funds No. 3677

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, June 17, 2019**

Michael J. Liccar & Company, LLC/Index Opportunity Fund LP No. 4229

- The following firm will begin participation in the DTCC Payment aXis and Insurance Processing Services, as detailed below:

**First Trade Date, June 19, 2019:**

Client One Securities, LLC No. 4142

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, MF – Profile and ACATS Fund/SERV services, as detailed below:

**First Trade Date, June 14, 2019:**

Foreside Fund Services, LLC/Ellington Income Fund  
 Contact: Casey Sauer  
 Phone: (414) 203-9122

No. 4164

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Clearing Broker Change, Effective First Trade Date, June 12, 2019</u></b>							
From: Haitong International Securities (USA) Inc.	SALI	0750	HTIS	✓			
To: Haitong International Securities (USA) Inc.	PERS	0443	HTIS	✓			
<b><u>Clearing Broker and Executing Name Change, Effective First Trade Date, June 13, 2019</u></b>							
From: Israel A. Englander & Co., LLC	MLCO	0161	IAEX	✓	✓	✓	✓
To: SRT Securities LLC	ECUT	0100	IAEX	✓			
<b><u>Delete Last Trade Date, June 11, 2019</u></b>							
Securities Research, Inc.	PERS	0443	SRFL	✓	✓	✓	✓
<b><u>Delete Last Trade Date, June 13, 2019</u></b>							
Electronic Transaction Clearing, Inc.	ETCC	0873	ETFB	✓			
<b><u>Delete Last Trade Date, June 28, 2019</u></b>							
Jump Trading, LLC	PRST	0551	JHAX	✓			
<b><u>Add First Trade Date, June 12, 2019</u></b>							
Limewire Brokerage LLC	SHMR	0695	LIWV	✓			

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).