Important Notice
National Securities Clearing Corporation

A#:	**8739**
P&S:	**8314**
Date:	**August 23, 2019**
To:	**ALL MEMBERS AND LIMITED MEMBERS**
From:	**WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS**
Attention:	**MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT**
Subject:	**MF Info Xchange Service Enhancements**

Effective **September 13, 2019**, National Securities Clearing Corporation (NSCC) will implement additional enhancements and capabilities to MF Info Xchange – the first communications center of its kind from DTCC's Wealth Management Services. MF Info Xchange facilitates and streamlines the delivery and receipt of time-critical notices and helps to effectively organize, prioritize and manage events and notifications through a centralized communications service.

As part of this release, NSCC will modify MF Info Xchange as follows:

1) **New Notifications**

This enhancement further expands the event notifications that can be delivered through MF Info Xchange.

- **"Purchase Minimum Change" Notification**

  This notification will allow clients to send communications regarding future purchase minimum changes.

- **"Purchase Maximum Change" Notification**

  This notification will allow clients to send communications regarding future purchase maximum changes.

2) **Notification Audit Trail for Users with a View Role**

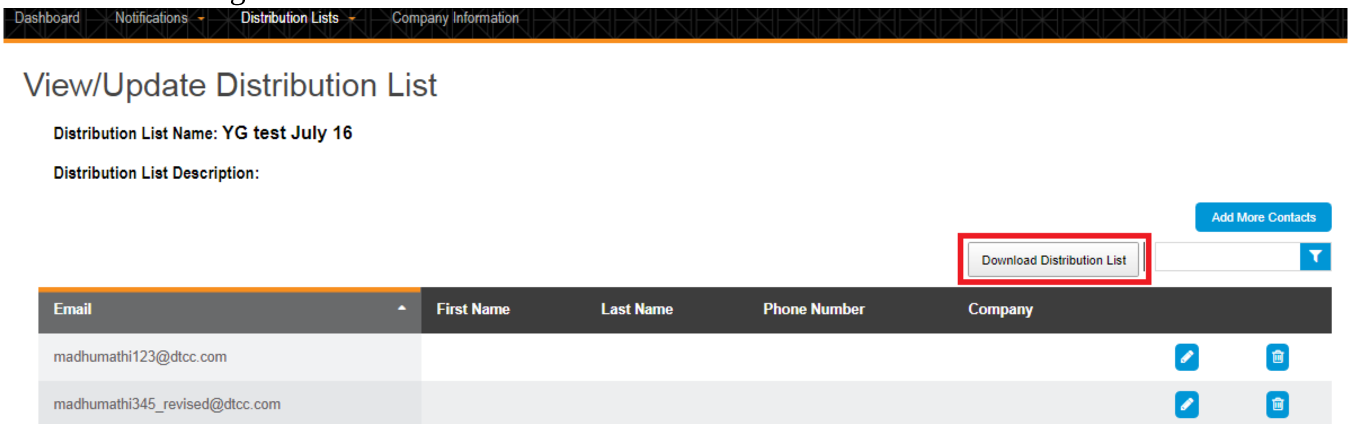
To continue to reduce risk and provide transparency, a notification audit trail displaying notification create/update/distribute activity will be available to fund clients with a "View" role (currently available to fund clients with an "Update" role).

DTCC offers enhanced access to all important notices via a Web-based subscription service.
The notification system leverages RSS Newsfeeds, providing significant benefits including
Real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS
Alerts, visit http://www.dtcc.com/subscription_form.php.

3) **Enhancements to Distribution List**

- **Download Distribution List**

This enhancement will allow fund clients to download their previously created distribution lists. The format of the downloaded file will correspond with the format of the distribution list upload template. This will allow clients to make the necessary updates to the distribution list and re-upload to MF Info Xchange for future notification distributions.



- **Ability to Re-upload Distribution List**

Under the current design, fund clients are required to delete an existing distribution list prior to uploading a revised version of the list. This enhancement will allow clients to upload a distribution list using the same list name. The system will advise the client if a list with this name already exists and prompt the client to confirm whether the existing list should be replaced with the new version.

TESTING

Effective **Friday, August 30, 2019**, clients can begin testing in the Participant Services Environment (PSE) region.

Clients are encouraged to test and must contact their Relationship Manager before testing begins for proper setup in the PSE region. Relationship Managers should be contacted at least five (5) days prior to testing.

DOCUMENTATION

User guide is available in the DTCC Learning Center (https://dtcclearning.com/products-and-services/mutual-fund-services/). The guide will be updated on or about October 18, 2019.

Clients can find more information on MF Info Xchange by viewing the fact sheet (http://www.dtcc.com/wealth-management-services/mutual-fund-services/mf-info-xchange) and press release (http://www.dtcc.com/news/2018/october/15/dtcc-to-launch-mf-info-xchange-to-transform-communications-for-mutual-fund-industry).

Questions and comments regarding this Important Notice may be directed to your Relationship Manager, Account Manager or Wealth Management Services at **212-855-8877**.

Yana Granovskiy
Product Manager

DTCC Wealth Management Services