



Important Notice

National Securities Clearing Corporation

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P&S:	8323
Date:	SEPTEMBER 20, 2019
To:	ALL MEMBERS AND LIMITED MEMBERS
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	Mutual Fund Profile Service II – Profile Security Specification Reporting Tool Enhancements

Effective Monday, October 14, 2019, National Securities Clearing Corporation (NSCC) will implement enhancements to the Mutual Fund Profile Service II – Profile Security (MFPS II) - Specification Reporting Tool.

The following enhancements included in this release will provide Funds the ability to:

- **Download supplemental data points into an Excel document to facilitate the completion of the Specification Report.**

The “Supplemental” data point section provides Funds the ability to perform data entry for commonly identified data points in Specification Reports that do not reside in Profile Security. Currently the supplemental data is saved in the web interface for future use by Funds, eliminating the need to update supplemental data points each time a Specification Report is generated.

- **Download a blank Specification Report template to use as a guide when generating a Specification Report.**
- **Download saved Override Reports into an Excel document to facilitate the review process.**

Funds have the flexibility to override a value for a Specification Report without impacting the data in Profile Security or the Supplemental data point section.

- **Create additional Specification Reports.**

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including Real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS Alerts, visit http://www.dtcc.com/subscription_form.php.

There are no programming changes for clients to generate or receive the Specification Reports. DTCC will be expanding the Specification Reports available through additional phases. To access the Specification Reporting tool, please log in to Profile Security and select the Specification Report menu option.

DOCUMENTATION

The Specification Report data dictionary is available on the DTCC Learning Center under Mutual Fund Services. A User Guide will be available shortly. An email notification will be sent when revised documentation has been posted to our website.

TESTING

Effective, **Thursday, September 26, 2019**, members can begin testing in the Participant Services Environment (PSE) region.

Members are encouraged to test and must contact their Relationship Manager before testing begins for proper setup in the PSE region. Relationship Managers should be contacted at least three (3) days prior to testing.

Additional Questions and comments regarding this Important Notice may be directed to your Relationship Manager, Account Manager or Wealth Management Services at 212-855-8877.

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