



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8806
<b>P&amp;S #:</b>	8379
<b>Date:</b>	01/31/2020
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, January 30, 2020:**

Quasar Distributors, LLC/MD Sass Funds No. 5918

**Last Trade Date, January 31, 2020:**

Foreside Fund Services, LLC/Steben Alternative Investment Funds No. 3036

Quasar Distributors, LLC/Gerstein Fisher Funds No. 5938

- The following firms will change their name only, as detailed below:

**First Trade Date, January 31, 2020**

From: Fidelity Investments Institutional Services Company, Inc. No. 4017

To: Fidelity Distributors Company LLC No. 4017

From: Fidelity Investments Institutional Services Company, Inc. No. 5894

To: Fidelity Distributors Company LLC No. 5894

From: Fidelity Investments Institutional Services Company, Inc./Fidelity ADV No. 5785

To: Fidelity Distributors Company LLC/Fidelity ADV No. 5785

- The following firm will begin participation in the Omni/SERV Transparency and Position services, as detailed below:

**First Trade Date, February 03, 2020**

EULAV Securities LLC No. 5827

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

Non-Confidential

- The following firm will begin participation in MF Profile services, as detailed below:

**First Trade Date, February 04, 2020**

Quasar Distributors LLC/Horizon Investments Funds No. 3614

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, January 29, 2020**

Great Lakes Fund Solutions, Inc./Preferred Office Properties No. 0054

**First Trade Date, February 03, 2020**

Stifel, Nicolaus & Company, Incorporated No. 4408

**First Trade Date, February 04, 2020**

Phoenix American Financial Services, Inc./Tei Diversified Income & Opportunity Fund V, LLC No. 0001

Opus Fund Services (USA) LLC/Blackoak Alpha Growth Master Fund LP No. 0069

**First Trade Date, February 05, 2020**

Nuveen Securities, LLC No. 0066

**First Trade Date, February 06, 2020**

Iroquois Valley Farmland REIT, PBC No. 0027

- The following additional number has been assigned to Foreside Financial Services, LLC (#6532) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, DTCC Payment Axis, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, February 04, 2020**

Foreside Financial Services, LLC/FNEX Ventures No. 0029

Contact: Ted Akins

Phone: (816) 787-072

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Delete Last Trade Date, January 29, 2020</u></b> G. F. Investment Services, LLC	NFSC	0226	GFBD		✓		
<b><u>Delete Last Trade Date, February 05, 2020</u></b> Folger Nolan Fleming Douglas Inc.	WRET	0141	FNFD		✓	✓	✓
<b><u>Add First Trade Date, February 03, 2020</u></b> C6 Capital Securities LLC	PERS	0443	CSIX	✓			

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).