

<b>B #:</b>	14188-20
<b>Date:</b>	October 23, 2020
<b>To:</b>	All Participants
<b>Category:</b>	Securities Processing Services Update
<b>From:</b>	Asset Services Product Management
<b>Attention:</b>	Officers / Cashiers / Operations Managers
<b>Subject:</b>	Securities Transfer Corporation's Fee Schedule

Attached is the Securities Transfer Corporation's Fee Schedule, effective November 21, 2020

Questions regarding these fees can be directed to:

Matthew Smith  
Chief Operating Officer

Securities Transfer Corporation  
2901 N. Dallas Parkway, Suite 380  
Plano, Texas 75093  
Phone (469) 633-0101  
Fax (469) 633-0088  
[www.stctransfer.com](http://www.stctransfer.com)



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**To:** DTC Securities Processing, Transfer Operations

**From:** Securities Transfer Corporation

**RE:** Updated Fee Schedule

All,

Attached please find the 2020 fee schedule for Securities Transfer Corp., DTC Transfer Agent #142 and 50136.

Questions regarding these fees can be directed to the following person:

**GEORGE JOHNSON**  
Securities Transfer Corp.  
2901 N. Dallas Parkway, Suite 380  
Plano, TX 75093  
P: (469) 633-0101 Ext 106  
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## SECURITIES TRANSFER CORPORATION

**\*\* TO BECOME EFFECTIVE November 21, 2020 \*\***

<b><u>TRANSFER SERVICES</u></b>	
Position Issuance Fee (1) Position	\$60.00
Cancellation Fee (1) Restriction	\$85.00
Removal Fee (2)	\$125.00 per registration
Restriction Placement Fee (1)	\$20.00 per registration
FAST Deposit Fee (1)	\$150.00 per registration
DWAC Deposit Fee	\$100.00 per registration
DWAC Withdrawal Fee	\$150.00 per registration
DRS Deposit Fee	\$100.00 per registration
DRS Withdrawal Fee	\$150.00 per registration
Rush Fee (3)	\$350.00
1 <sup>st</sup> Reject Fee	\$75.00
2 <sup>nd</sup> Reject Fee	\$100.00
3 <sup>rd</sup> Reject Fee	\$150.00
Bad Medallion Reject Fee (4)	\$50.00
Broker Warranty Letter	\$75.00
FedEx to DTC (6)	\$55 Standard Overnight
DTC SCL Check Request Fee (5)	\$20.00
DTC Transfers for Suspended and Frozen Issuers (7)	\$100.00 per SCL transfer request
Broker Transfers for Suspended Issuers (7)	\$100.00
Broker Transfers for Frozen Issuers (7)	\$500.00

- (1) Charged on a “per certificate” or “per position” basis.
- (2) Does not include the position issuance or cancellation fees.
- (3) Guarantees turnaround within 24-48 hours, assuming paperwork is in good order. Does not include courier shipping.
- (4) Charged in addition to standard reject fee.
- (5) Charged on a “per SCL” basis.
- (6) Priority Overnight shipping available upon request.
- (7) Charged in addition to normal transfer fees and per transfer request.