



<b>A#:</b>	a8922
<b>P&amp;S:</b>	p&s8495
<b>Date:</b>	November 2, 2020
<b>To:</b>	<b>ALL MEMBERS AND LIMITED MEMBERS</b>
<b>From:</b>	<b>WEALTH MANAGEMENT SERVICES – Insurance &amp; Retirement Services (I&amp;RS)</b>
<b>Attention:</b>	<b>MANAGER INSURANCE OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&amp;S DEPARTMENT</b>
<b>Subject:</b>	<b>Modified I&amp;RS Processing Schedule for Saturday December 12, 2020</b>

Pursuant to [Important Notice z0216](#), DTCC continuously evaluates its technology landscape to ensure we provide the most secure and reliable solutions to meet client needs. DTCC will implement a planned infrastructure upgrade that will enhance its resiliency posture.

This infrastructure upgrade requires an extended maintenance window. Accordingly, the cutoff time to submit I&RS files and messages will be shortened to **1:00 PM ET on Saturday, December 12, 2020**.

**Please be advised that during this weekend, DTCC production systems will be unavailable between the hours of 1:00 PM (ET) Saturday and 8:00AM (ET) Sunday. In addition, the PSE (test environment) systems will be unavailable between the hours of 11:00 AM (ET) Saturday and 8:00AM (ET) Sunday.**

As a result of this upgrade, Wealth Management Services (WMS) will follow a modified processing schedule during the weekend of December 12, 2020. For details on the revised processing schedule for your Insurance & Retirement Service application(s), please refer to the attached Appendix.

Regular processing and access will resume immediately following the upgrade.

Thank you in advance for your patience and cooperation. If you have any questions, please contact your Insurance & Retirement Services Relationship Manager.

Insurance & Retirement Services Product Management  
DTCC Wealth Management Services

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DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

## Appendix A

### Insurance & Retirement Services

#### Production Processing Overview

Time	Event
1:00 PM(est)	All input to production processing completes
1:01 PM	Disable Datatrak SYSID or disable Client connections. Clients will get a Datatrak reject or a connection error depending on what is disabled.
1:02 PM – AM 1:59 PM	All output is extracted and delivered to clients
02:00 PM(est)	Extended Maintenance Processing Starts

#### Production Processing Schedules

Application	Description	Normal Saturday Output Cycles	Extended Maintenance December 12
<b>Batch Products</b>			
AAP	Asset Pricing	4AM, 6AM, 9AM, 12PM, 3PM	<b>FAR: All output will be produced.</b>  <b>AAP and POV: 3pm output will not be run.</b> AAP and POV Input files received from 12pm-1pm will be edited but sent out on the first cycle on Monday.
FAR	Financial Activity Reporting	4AM, 7AM, 10AM, 1PM	
POV	Positions and Valuation (PVF, PFF, PNF)	4AM, 6:30AM, 8:30AM, 12PM, 3PM	
IIEX	Insurance Information Exchange		<b>IIEX: 3pm data will not be posted.</b>
POV	Positions and Valuations (PRP)	5AM, 7:30AM, 10AM, 1PM	<b>All output will be produced.</b>
COM	Commissions	<b>none</b>	<b>No Saturday output.</b> Input files received from the last output cycle on Friday
APP/SUB	Applications and Subsequent	<b>none</b>	

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IFT	In Force Transactions	none	through <b>1pm</b> on Saturday will be edited but sent out in the first output cycle on Monday.  <b>LNA: All output will be produced.</b> <b>LNA Training: All output will be produced.</b> <b>CST: 2pm output will not run.</b> <b>FET: 2pm output will not run.</b>
FT, WTH, ARR, POA	Fund Transfers, Withdrawals, Arrangements, Policy Admin, <b>All Batch Day 2</b>	none	
LNA	Licensing and Appointments	7AM, 10AM, 1PM	
LNA Training	Licensing & Appointments Vendor	7AM, 10AM, 1PM	
CST	Commission Schedule Transmittal	6AM, 10AM, 2PM	
FET	Fee and Expense Transmittal	6AM, 10AM, 2PM	
STL	Settlement for Ins	<b>None</b>	
<b>B2B Products</b>			
IAT	Attachments	Real time	<b>Attachment input messages received from 12am – 1pm will be processed and delivered.</b>  Other B2B product messages are not accepted on weekends.
FT, VI, WTH, ARR, PA, PAO, DN	Fund Transfers, Value Inquiry, Withdrawals, Arrangements, Policy Admin, Policy Admin Inquiry, Death Notify	Real time	
PMB	Producer Management Transactions	Real time	
<b>Access Products</b>			
ICP, IAA, IAW, PMW, LNA, IFT, IPF	Cusip Profile Attachment Access Attachment Web Producer Mgt License & Appointments In Force Trans, Insurance Profile	LNA and IFT go out with next available batch cycles. LNA - 7AM, 10AM, 1PM IFT – none  All others real time.	<b>LNA: All output will be produced.</b>  <b>IFT: there will be no batch output.</b> It will be sent out the first cycle on Monday.

