



*Important Notice*  
**National Securities Clearing Corporation**

<b>P&amp;S #:</b>	8499
<b>A#:</b>	8926
<b>Date:</b>	<b>NOVEMBER 6, 2020</b>
<b>To:</b>	<b>All NSCC full-service broker dealers</b>
<b>Attention:</b>	<b>Managing Partner/Officer, P&amp;S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants</b>
<b>From:</b>	<b>Cashiering</b>
<b>Subject:</b>	<b>Year 2021 Obligation Warehouse RECAPS Schedule</b>

The dates for NSCC’s monthly Reconfirmation and Re-pricing Service (RECAPS) have been scheduled for 2021. Equities, Municipals, Corporates and UITs that are two business days or older are eligible for NSCC for comparison and re-pricing.

**Year 2021 monthly Obligation Warehouse RECAPS cycle calendar**

<b>RECAPS Date</b>	<b>Settlement Date of RECAPS</b>
Wednesday, January 13, 2021	Thursday, January 14, 2021
Thursday, January 28, 2021	Friday, January 29, 2021
Wednesday, February 10, 2021	Thursday, February 11, 2021
Thursday, February 25, 2021	Friday, February 26, 2021
Thursday, March 11, 2021	Friday, March 12, 2021
Tuesday, March 30, 2021	Wednesday, March 31, 2021
Wednesday, April 14, 2021	Thursday, April 15, 2021
Thursday, April 29, 2021	Friday, April 30, 2021
Thursday, May 13, 2021	Friday, May 14, 2021
Wednesday, May 26, 2021	Thursday, May 27, 2021
Monday, June 14, 2021	Tuesday, June 15, 2021
Tuesday, June 29, 2021	Wednesday, June 30, 2021
Thursday, July 15, 2021	Friday, July 16, 2021
Thursday, July 29, 2021	Friday, July 30, 2021
Thursday, August 12, 2021	Friday, August 13, 2021
Monday, August 30, 2021	Tuesday, August 31, 2021
Tuesday, September 14, 2021	Wednesday, September 15, 2021
Wednesday, September 29, 2021	Thursday, September 30, 2021
Thursday, October 14, 2021	Friday, October 15, 2021
Thursday, October 28, 2021	Friday, October 29, 2021
Monday, November 15, 2021	Tuesday, November 16, 2021

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

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Monday, November 29, 2021	Tuesday, November 30, 2021
Tuesday, December 14, 2021	Wednesday, December 15, 2021
Wednesday, December 29, 2021	Thursday, December 30, 2021

Questions regarding the information in this Important Notice or Obligation Warehouse can be directed to Cashiering Operations at 888-382-2721 options 2 & 3.

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