



*Important Notice*  
**National Securities Clearing Corporation**

<b>P&amp;S #:</b>	8500
<b>A#:</b>	8927
<b>Date:</b>	11/06/2020
<b>To:</b>	All Participants
<b>Attention:</b>	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Mutual Fund Processing Manager, I&RS Participants
<b>From:</b>	NSCC Operations
<b>Subject:</b>	Holiday Schedule: Thanksgiving Day 2020

National Securities Clearing Corporation will be closed on Thursday, November 26 in observance of Thanksgiving Day. The following changes in NSCC's operations and schedules will be in effect:

**COMPARISON SYSTEMS**

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITs (CMU) for trade date Wednesday, November 25 will be accepted no later than 8:00 pm on Wednesday, November 25.

**Trade Capture & Reporting**

No Universal Trade Capture (UTC) processing will take place on Thursday, November 26.

**CONTINUOUS NET SETTLEMENT (CNS)**

Seg. Exemptions and Priority Requests for settlement of Friday, November 27 will be accepted on Wednesday Evening, November 25.

*The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Thanksgiving Day processing to determine their schedules in effect.*

**CENTRAL DELIVERY AND SETTLEMENT**

Delivery Services or Settlement Operations will be not provided on Thursday, November 26.

**INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Wednesday, November 25 for settlement Friday, November 27.

**AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)**

No ACATS activity will be accepted on Thursday, November 26.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

### **ACATS - FUND/SERV INTERFACE**

No ACATS-Fund/SERV® Interface activity will be accepted on Thursday, November 26.

### **ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Thursday, November 26.

### **OBLIGATION WAREHOUSE (OW)**

OW services will not be in effect for Thursday, November 26. No input will be received or processed, and no output generated for OW. Any submission after close of business Wednesday, November 25 should be for the next business date. Normal processing will resume for business day Friday, November 27.

### **FUND/SERV®**

No Fund/SERV® activity will be accepted on Thursday, November 26.

### **DTCC Payment aXis®**

No DTCC Payment aXis® activity will be accepted on Thursday, November 26.

### **NETWORKING & OMNI/SERV®**

No Networking or Omni/SERV activity will be accepted on Thursday, November 26.

### **MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)**

No MFPS I or MFPS II activity will be accepted on Thursday, November 26.

### **MF INFO XCHANGE**

Mutual Fund Info Xchange activity will be accepted on Thursday, November 26.

### **ALTERNATIVE INVESTMENT PRODUCTS (AIP)**

The AIP System will process transactions and create settlement data files, but there will be no money settlement on Thursday, November 26.

### **INSURANCE PROCESSING**

Transmissions for all products will be accepted and processed on Thursday, November 26. Output will be produced for all non-money settlement (excluding In Force Day 2 Confirmations and ACATS/REP/BIN) product output on Thursday, November 26. All settlement product output (including In Force Day 2 Confirmation output and ACATS/REP/BIN) will be transmitted on the regular business day Friday, November 27.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or to the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.