



Important Notice

National Securities Clearing Corporation

P&S #:	8513
A#:	8940
Date:	December 11, 2020
To:	All Participants
Attention:	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From:	NSCC Operations
Subject:	Holiday Schedule: Christmas 2020

National Securities Clearing Corporation will be closed on Friday, December 25 in observance of Christmas Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITs (CMU) for trade date Thursday, December 24 will be accepted no later than 8:00 pm on Thursday, December 24.

TRADE CAPTURE AND REPORTING

No UTC processing will take place on Friday, December 25.

CONTINUOUS NET SETTLEMENT (CNS)

Seg. Exemptions and Priority Requests for settlement of Monday, December 28 will be accepted on Thursday Evening, December 24.

The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Christmas Day processing to determine their schedules in effect.

CENTRAL DELIVERY AND SETTLEMENT

Delivery Services or Settlement Operations will be not provided on Friday, December 25.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on Thursday, December 24 for settlement Monday, December 28.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)

No ACATS activity will be accepted on Friday, December 25.

ACATS - FUND/SERV INTERFACE

No ACATS-Fund/SERV Interface activity will be accepted on Friday, December 25.

ACATS/IPS

No ACATS/IPS Interface activity will be accepted on Friday, December 25.

OBLIGATION WAREHOUSE (OW)

OW will be closed for the business day Friday, December 25. Input will be accepted, but not processed and no output generated for OW. Any submission after close of business Thursday, December 24 should be for the next business date. Normal processing will resume for business day Monday, December 28.

FUND/SERV®

No Fund/SERV activity will be accepted on Friday, December 25.

DTCC Payment aXis®,

No DTCC Payment aXis® activity will be accepted on Friday, December 25. The DTCC Payment aXis application will be available for processing on Saturday, December 26.

NETWORKING & OMNI/SERV®

No Networking or Omni/SERV activity will be accepted on Friday, December 25. The Networking & OMNI/SERV applications will be available for processing Saturday, December 26.

MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II-PARTICIPANT, SECURITY AND DISTRIBUTION)

No MFPS I or MFPS II activity will be accepted on Friday, December 25.

MF INFO XCHANGE

Mutual Fund Info Xchange activity will be accepted on Friday, December 25.

ALTERNATIVE INVESTMENT PRODUCTS (AIP)

The AIP System will NOT process transactions or create settlement data files. There will be no money settlement on Friday, December 25.

INSURANCE PROCESSING

Transmissions for all products will be accepted and processed on Friday, December 25. Output will be produced for all non-money settlement (excluding Funds Transfer Day 2 and ACATS/REP/BIN) product output on Friday, December 25. All settlement product output (including Funds Transfer Day 2 output and ACATS/REP/BIN) will be transmitted on the regular business day Monday, December 28.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or to the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.