



Important Notice

National Securities Clearing Corporation

P&S #:	8514
A#:	8941
Date:	December 11, 2020
To:	All Participants
Attention:	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From:	NSCC Operations
Subject:	Holiday Schedule: New Year's 2021

National Securities Clearing Corporation will be closed on Friday, January 1 in observance of New Year's Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITs (CMU) for trade date Thursday, December 31 will be accepted no later than 8:00 pm on Thursday, December 31.

TRADE CAPTURE AND REPORTING

No UTC processing will take place on Friday, January 1.

CONTINUOUS NET SETTLEMENT CNS

Seg. Exemptions and Priority Requests for settlement of Monday, January 4 will be accepted on Thursday Evening, December 31.

The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding New Year's Day processing to determine their schedules in effect.

CENTRAL DELIVERY AND SETTLEMENT (ESS)

Delivery Services or Settlement Operations will be not provided on Friday, January 1.

INTER-CITY DELIVERIES (IESS)

Inter-City Deliveries will be accepted on Thursday, December 31 for settlement Monday, January 4.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)

No ACATS activity will be accepted on Friday, January 1.

ACATS - FUND/SERV INTERFACE

No ACATS-Fund/SERV Interface activity will be accepted on Friday, January 1.

ACATS/IPS

No ACATS/IPS Interface activity will be accepted on Friday, January 1.

OBLIGATION WAREHOUSE (OW)

OW services will not be in effect for Friday, January 1. No input will be received or processed, and no output generated for OW. Any submission after close of business Thursday, December 31 should be for the next business date. Normal processing will resume for business day Monday, January 4.

FUND/SERV®

No Fund/SERV® activity will be accepted on Friday, January 1.

DTCC PAYMENT aXis®

No DTCC Payment aXis® activity will be accepted on Friday, January 1. The DTCC Payment aXis application will be available for processing on Saturday, January 2.

NETWORKING & OMNI/SERV®

No Networking or Omni/SERV activity will be accepted on Friday, January 1, 2021. The Networking & OMNI/SERV applications WILL be available for processing Saturday, January 2.

MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II-PARTICIPANT, SECURITY AND DISTRIBUTION)

No MFPS I or MFPS II activity will be accepted on Friday, January 1.

MF INFO XCHANGE

Mutual Fund Info Xchange activity will be accepted on Friday, January 1.

ALTERNATE INVESTMENT PRODUCTS (AIP)

The AIP System will NOT process transactions or create settlement data files. There will be no money settlement on Friday, January 1.

INSURANCE PROCESSING

Transmissions for all products will be accepted and processed on Friday, January 1. Output will be produced for all non-money settlement (excluding Funds Transfer Day 2 and ACATS/REP/BIN) product output on Friday, January 1. All settlement product output (including Funds Transfer Day 2 output and ACATS/REP/BIN) will be transmitted on the regular business day Monday, January 4.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or to the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.